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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
SOUTHERN DIVISION**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

ENERGY & ENVIRONMENTAL
INVESTMENTS, LLC; ENERGY &
ENVIRONMENT, INC.; AMIR A.
SARDARI; and NARYSA
SARDARI LUDDY,

Defendants.

Case No. 8:23-cv-00338-FWS-JDE

**FINAL JUDGMENT AS TO
DEFENDANT ENERGY &
ENVIRONMENTAL
INVESTMENTS, LLC [5]**

**FINAL JUDGMENT AS TO DEFENDANT ENERGY &
ENVIRONMENTAL INVESTMENTS, LLC**

The Securities and Exchange Commission having filed a Complaint and Defendant Energy & Environmental Investments, LLC having entered a general appearance; consented to the Court’s jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Complaint (except as to jurisdiction); waived

1 findings of fact and conclusions of law; and waived any right to appeal from this
2 Final Judgment:

3 I.

4 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant
5 is permanently restrained and enjoined from violating, directly or indirectly,
6 Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15
7 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5],
8 by using any means or instrumentality of interstate commerce, or of the mails, or
9 of any facility of any national securities exchange, in connection with the purchase
10 or sale of any security:

11 (a) to employ any device, scheme, or artifice to defraud;

12 (b) to make any untrue statement of a material fact or to omit to state a
13 material fact necessary in order to make the statements made, in the
14 light of the circumstances under which they were made, not
15 misleading; or

16 (c) to engage in any act, practice, or course of business which operates or
17 would operate as a fraud or deceit upon any person.

18 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
19 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
20 binds the following who receive actual notice of this Final Judgment by personal
21 service or otherwise: (a) Defendant’s officers, agents, servants, employees, and
22 attorneys; and (b) other persons in active concert or participation with Defendant
23 or with anyone described in (a).

24 II.

25 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED
26 that Defendant is permanently restrained and enjoined from violating Section 17(a)
27 of the Securities Act of 1933 (the “Securities Act”) [15 U.S.C. § 77q(a)] in the
28 offer or sale of any security by the use of any means or instruments of

1 transportation or communication in interstate commerce or by use of the mails,
2 directly or indirectly:

3 (a) to employ any device, scheme, or artifice to defraud;

4 (b) to obtain money or property by means of any untrue statement of a
5 material fact or any omission of a material fact necessary in order to
6 make the statements made, in light of the circumstances under which
7 they were made, not misleading; or

8 (c) to engage in any transaction, practice, or course of business which
9 operates or would operate as a fraud or deceit upon the purchaser.

10 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
11 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
12 binds the following who receive actual notice of this Final Judgment by personal
13 service or otherwise: (a) Defendant's officers, agents, servants, employees, and
14 attorneys; and (b) other persons in active concert or participation with Defendant
15 or with anyone described in (a).

16 III.

17 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED
18 that Defendant is liable for disgorgement of \$3,414,836.23, representing net profits
19 gained as a result of the conduct alleged in the Complaint, together with
20 prejudgment interest thereon in the amount of \$977,948.76, and a civil penalty in
21 the amount of \$1,035,909 pursuant to Securities Act Section 20(d) and Exchange
22 Act Section 21(d)(3). Defendant shall satisfy this obligation by paying
23 \$5,428,693.99 to the Securities and Exchange Commission within 30 days.

24 Defendant may transmit payment electronically to the Commission, which
25 will provide detailed ACH transfer/Fedwire instructions upon request. Payment
26 may also be made directly from a bank account via Pay.gov through the SEC
27 website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by
28 certified check, bank cashier's check, or United States postal money order payable

1 to the Securities and Exchange Commission, which shall be delivered or mailed to
2 Enterprise Services Center
3 Accounts Receivable Branch
4 6500 South MacArthur Boulevard
5 Oklahoma City, OK 73169

6 and shall be accompanied by a letter identifying the case title, civil action number,
7 and name of this Court; Energy & Environmental Investments, LLC as a defendant
8 in this action; and specifying that payment is made pursuant to this Final
9 Judgment.

10 Defendant shall simultaneously transmit photocopies of evidence of
11 payment and case identifying information to the Commission's counsel in this
12 action. By making this payment, Defendant relinquishes all legal and equitable
13 right, title, and interest in such funds and no part of the funds shall be returned to
14 Defendant.

15 The Commission may enforce the Court's judgment for disgorgement and
16 prejudgment interest by using all collection procedures authorized by law,
17 including, but not limited to, moving for civil contempt at any time after 30 days
18 following entry of this Final Judgment.

19 The Commission may enforce the Court's judgment for penalties by the use
20 of all collection procedures authorized by law, including the Federal Debt
21 Collection Procedures Act, 28 U.S.C. § 3001 *et seq.*, and moving for civil
22 contempt for the violation of any Court orders issued in this action. Defendant
23 shall pay post judgment interest on any amounts due after 30 days of the entry of
24 this Final Judgment pursuant to 28 U.S.C. § 1961. The Commission shall hold the
25 funds, together with any interest and income earned thereon (collectively, the
26 "Fund"), pending further order of the Court.

27 The Commission may propose a plan to distribute the Fund subject to the
28 Court's approval. Such a plan may provide that the Fund shall be distributed

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V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

DATED: May 19, 2023



Hon. Fred W. Slaughter
UNITED STATES DISTRICT JUDGE

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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
SOUTHERN DIVISION**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

ENERGY & ENVIRONMENTAL
INVESTMENTS, LLC; ENERGY &
ENVIRONMENT, INC.; AMIR A.
SARDARI; and NARYSA
SARDARI LUDDY,

Defendants.

Case No. 8:23-cv-00338-FWS-JDE

**FINAL JUDGMENT AS TO
DEFENDANT ENERGY &
ENVIRONMENT, INC. [6]**

**FINAL JUDGMENT AS TO
DEFENDANT ENERGY & ENVIRONMENT, INC.**

The Securities and Exchange Commission having filed a Complaint and Defendant Energy & Environment, Inc. having entered a general appearance; consented to the Court’s jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Complaint (except as to jurisdiction); waived findings of fact and

1 conclusions of law; and waived any right to appeal from this Final Judgment:

2 I.

3 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant
4 is permanently restrained and enjoined from violating, directly or indirectly,
5 Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15
6 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5],
7 by using any means or instrumentality of interstate commerce, or of the mails, or
8 of any facility of any national securities exchange, in connection with the purchase
9 or sale of any security:

10 (a) to employ any device, scheme, or artifice to defraud;

11 (b) to make any untrue statement of a material fact or to omit to state a
12 material fact necessary in order to make the statements made, in the
13 light of the circumstances under which they were made, not
14 misleading; or

15 (c) to engage in any act, practice, or course of business which operates or
16 would operate as a fraud or deceit upon any person.

17 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
18 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
19 binds the following who receive actual notice of this Final Judgment by personal
20 service or otherwise: (a) Defendant’s officers, agents, servants, employees, and
21 attorneys; and (b) other persons in active concert or participation with Defendant
22 or with anyone described in (a).

23 II.

24 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED
25 that Defendant is permanently restrained and enjoined from violating Section 17(a)
26 of the Securities Act of 1933 (the “Securities Act”) [15 U.S.C. § 77q(a)] in the
27 offer or sale of any security by the use of any means or instruments of
28 transportation or communication in interstate commerce or by use of the mails,

1 directly or indirectly:

- 2 (a) to employ any device, scheme, or artifice to defraud;
- 3 (b) to obtain money or property by means of any untrue statement of a
4 material fact or any omission of a material fact necessary in order to
5 make the statements made, in light of the circumstances under which
6 they were made, not misleading; or
- 7 (c) to engage in any transaction, practice, or course of business which
8 operates or would operate as a fraud or deceit upon the purchaser.

9 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
10 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
11 binds the following who receive actual notice of this Final Judgment by personal
12 service or otherwise: (a) Defendant's officers, agents, servants, employees, and
13 attorneys; and (b) other persons in active concert or participation with Defendant
14 or with anyone described in (a).

15 III.

16 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED
17 that Defendant is liable for a civil penalty in the amount of \$200,000 pursuant to
18 Securities Act Section 20(d) and Exchange Act Section 21(d)(3). Defendant shall
19 satisfy this obligation by paying \$200,000 to the Securities and Exchange
20 Commission within 30 days. Defendant shall also use its best efforts to sell certain
21 LNG Plant Equipment and pay certain proceeds of such Equipment to the
22 Commission, in satisfaction of the obligations of defendants Narysa Sardari Luddy
23 and Energy & Environmental Investments, LLC, as more fully set forth in the
24 Consent. Defendant shall also use its best efforts to ensure that initial payments
25 made to the Commission are allocated toward satisfying, in full or in part, the
26 obligations of Defendant Energy & Environment, Inc. and Defendant Narysa
27 Sardari Luddy in this action, and as set forth more fully in the Consent.

28 Defendant may transmit payment electronically to the Commission, which

1 will provide detailed ACH transfer/Fedwire instructions upon request. Payment
2 may also be made directly from a bank account via Pay.gov through the SEC
3 website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by
4 certified check, bank cashier's check, or United States postal money order payable
5 to the Securities and Exchange Commission, which shall be delivered or mailed to

6 Enterprise Services Center
7 Accounts Receivable Branch
8 6500 South MacArthur Boulevard
9 Oklahoma City, OK 73169

10 and shall be accompanied by a letter identifying the case title, civil action number,
11 and name of this Court; Energy & Environment, Inc. as a defendant in this action;
12 and specifying that payment is made pursuant to this Final Judgment.

13 Defendant shall simultaneously transmit photocopies of evidence of
14 payment and case identifying information to the Commission's counsel in this
15 action. By making this payment, Defendant relinquishes all legal and equitable
16 right, title, and interest in such funds and no part of the funds shall be returned to
17 Defendant.

18 The Commission may enforce the Court's judgment for penalties by the use
19 of all collection procedures authorized by law, including the Federal Debt
20 Collection Procedures Act, 28 U.S.C. § 3001 *et seq.*, and moving for civil
21 contempt for the violation of any Court orders issued in this action. Defendant
22 shall pay post judgment interest on any amounts due after 30 days of the entry of
23 this Final Judgment pursuant to 28 U.S.C. § 1961. The Commission shall hold the
24 funds, together with any interest and income earned thereon (collectively, the
25 "Fund"), pending further order of the Court.

26 The Commission may propose a plan to distribute the Fund subject to the
27 Court's approval. Such a plan may provide that the Fund shall be distributed
28 pursuant to the Fair Fund provisions of Section 308(a) of the Sarbanes-Oxley Act

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V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

DATED: May 19, 2023



Hon. Fred W. Slaughter
UNITED STATES DISTRICT JUDGE

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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
SOUTHERN DIVISION**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

ENERGY & ENVIRONMENTAL
INVESTMENTS, LLC; ENERGY &
ENVIRONMENT, INC.; AMIR A.
SARDARI; and NARYSA
SARDARI LUDDY,

Defendants.

Case No. SACV 23-00338-FWS (JDEx)

**FINAL JUDGMENT
AS TO DEFENDANT AMIR A.
SARDARI [7]**

FINAL JUDGMENT AS TO DEFENDANT AMIR A. SARDARI

The Securities and Exchange Commission having filed a Complaint and Defendant Amir A. Sardari having entered a general appearance; consented to the Court’s jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment; waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

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I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive actual notice of this Final Judgment by personal service or otherwise: (a) Defendant’s officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant or with anyone described in (a).

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating Section 17(a) of the Securities Act of 1933 (the “Securities Act”) [15 U.S.C. § 77q(a)] in the offer or sale of any security by the use of any means or instruments of transportation or communication in interstate commerce or by use of the mails, directly or indirectly:

- 1 (a) to employ any device, scheme, or artifice to defraud;
- 2 (b) to obtain money or property by means of any untrue statement of a
- 3 material fact or any omission of a material fact necessary in order to
- 4 make the statements made, in light of the circumstances under which
- 5 they were made, not misleading; or
- 6 (c) to engage in any transaction, practice, or course of business which
- 7 operates or would operate as a fraud or deceit upon the purchaser.

8 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as

9 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also

10 binds the following who receive actual notice of this Final Judgment by personal

11 service or otherwise: (a) Defendant's officers, agents, servants, employees, and

12 attorneys; and (b) other persons in active concert or participation with Defendant

13 or with anyone described in (a).

14 III.

15 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that pursuant

16 to Section 21(d)(5) of the Exchange Act [15 U.S.C. § 78u(d)(5)], Defendant is

17 permanently restrained and enjoined from directly or indirectly, including, but not

18 limited to, through any entity owned or controlled by him, participating in the

19 issuance, purchase, offer, or sale of any security in an unregistered transaction,

20 provided, however, that such injunction shall not prevent him from purchasing or

21 selling securities listed on a national securities exchange for his own personal

22 account.

23 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as

24 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also

25 binds the following who receive actual notice of this Final Judgment by personal

26 service or otherwise: (a) Defendant's officers, agents, servants, employees, and

27 attorneys; and (b) other persons in active concert or participation with Defendant

28 or with anyone described in (a).

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IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, pursuant to Section 21(d)(2) of the Exchange Act [15 U.S.C. § 78u(d)(2)] and Section 20(e) of the Securities Act [15 U.S.C. § 77t(e)], Defendant is permanently prohibited from acting as an officer or director of any issuer that has a class of securities registered pursuant to Section 12 of the Exchange Act [15 U.S.C. § 78l] or that is required to file reports pursuant to Section 15(d) of the Exchange Act [15 U.S.C. § 78o(d)].

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all of the undertakings and agreements set forth therein.

VI.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. §523, the allegations in the complaint are true and admitted by Defendant, and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. §523(a)(19).

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VII.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

DATED: May 19, 2023



Hon. Fred W. Slaughter
UNITED STATES DISTRICT JUDGE

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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
SOUTHERN DIVISION**

JS-6

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

ENERGY & ENVIRONMENTAL
INVESTMENTS, LLC; ENERGY &
ENVIRONMENT, INC.; AMIR A.
SARDARI; and NARYSA SARDARI
LUDDY,

Defendants.

Case No. 8:23-cv-00338-FWS-JDE

**FINAL JUDGMENT AS TO
DEFENDANT NARYSA SARDARI
LUDDY [8]**

FINAL JUDGMENT AS TO DEFENDANT NARYSA SARDARI LUDDY

The Securities and Exchange Commission having filed a Complaint and Defendant Narysa Sardari Luddy having entered a general appearance; consented to the Court’s jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment; waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

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I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive actual notice of this Final Judgment by personal service or otherwise: (a) Defendant’s officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant or with anyone described in (a).

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating Section 17(a) of the Securities Act of 1933 (the “Securities Act”) [15 U.S.C. § 77q(a)] in the offer or sale of any security by the use of any means or instruments of transportation or communication in interstate commerce or by use of the mails, directly or indirectly:

- 1 (a) to employ any device, scheme, or artifice to defraud;
- 2 (b) to obtain money or property by means of any untrue statement of a
- 3 material fact or any omission of a material fact necessary in order to
- 4 make the statements made, in light of the circumstances under which
- 5 they were made, not misleading; or
- 6 (c) to engage in any transaction, practice, or course of business which
- 7 operates or would operate as a fraud or deceit upon the purchaser.

8 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
9 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
10 binds the following who receive actual notice of this Final Judgment by personal
11 service or otherwise: (a) Defendant’s officers, agents, servants, employees, and
12 attorneys; and (b) other persons in active concert or participation with Defendant
13 or with anyone described in (a).

14 III.

15 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that pursuant
16 to Section 21(d)(5) of the Exchange Act [15 U.S.C. § 78u(d)(5)], Defendant is
17 permanently restrained and enjoined from directly or indirectly, including, but not
18 limited to, through any entity owned or controlled by her, participating in the
19 issuance, purchase, offer, or sale of any security in an unregistered transaction,
20 provided, however, that such injunction shall not prevent her from purchasing or
21 selling securities listed on a national securities exchange for her own personal
22 account.

23 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
24 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
25 binds the following who receive actual notice of this Final Judgment by personal
26 service or otherwise: (a) Defendant’s officers, agents, servants, employees, and
27 attorneys; and (b) other persons in active concert or participation with Defendant
28 or with anyone described in (a).

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IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, pursuant to Section 21(d)(2) of the Exchange Act [15 U.S.C. § 78u(d)(2)] and Section 20(e) of the Securities Act [15 U.S.C. § 77t(e)], Defendant is permanently prohibited from acting as an officer or director of any issuer that has a class of securities registered pursuant to Section 12 of the Exchange Act [15 U.S.C. § 78l] or that is required to file reports pursuant to Section 15(d) of the Exchange Act [15 U.S.C. § 78o(d)].

V.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$1,009,191.86, representing net profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$289,014.72. Defendant shall satisfy this obligation by paying \$1,298,206.58 to the Securities and Exchange Commission within 30 days after entry of this Final Judgment.

Defendant may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

Enterprise Services Center
Accounts Receivable Branch
6500 South MacArthur Boulevard
Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Narysa Sardari Luddy as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment. Defendant's

1 obligation may also be satisfied in full or in part by proceeds of Defendant Energy
2 & Environment, Inc.’s sale of the LNG Plant Equipment described in paragraph
3 (3)(e) of the Consent of Defendant Narysa Sardari Luddy.

4 Defendant shall simultaneously transmit photocopies of evidence of
5 payment and case identifying information to the Commission’s counsel in this
6 action. By making this payment, Defendant relinquishes all legal and equitable
7 right, title, and interest in such funds and no part of the funds shall be returned to
8 Defendant.

9 The Commission may enforce the Court’s judgment for disgorgement and
10 prejudgment interest by using all collection procedures authorized by law,
11 including, but not limited to, moving for civil contempt at any time after 30 days
12 following entry of this Final Judgment.

13 Defendant shall pay post judgment interest on any amounts due after 30 days
14 of the entry of this Final Judgment pursuant to 28 U.S.C. § 1961. The Commission
15 shall hold the funds, together with any interest and income earned thereon
16 (collectively, the “Fund”), pending further order of the Court.

17 The Commission may propose a plan to distribute the Fund subject to the
18 Court’s approval. Such a plan may provide that the Fund shall be distributed
19 pursuant to the Fair Fund provisions of Section 308(a) of the Sarbanes-Oxley Act
20 of 2002. The Court shall retain jurisdiction over the administration of any
21 distribution of the Fund and the Fund may only be disbursed pursuant to an Order
22 of the Court.

23 VI.

24 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the
25 Consent is incorporated herein with the same force and effect as if fully set forth
26 herein, and that Defendant shall comply with all of the undertakings and
27 agreements set forth therein.
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VII.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. §523, the allegations in the complaint are true and admitted by Defendant, and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. §523(a)(19).

VIII.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

DATED: May 19, 2023



Hon. Fred W. Slaughter
UNITED STATES DISTRICT JUDGE