

APPENDIX E AUTOMATED CONFORMANCE RULES FOR EDGAR DATA FIELDS

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Values for some EDGAR data fields must be presented in a specific “conformed” format.

For more information, visit the “How Do I Understand Automated Conformance Rules for EDGAR Data Fields” webpage at

<https://www.sec.gov/edgar/filer/how-do-i/understand-automated-conformance-rules-edgar-data-fields>), which lists automated conformance rules for the following data fields and topics:

1. 8-K and 1-U Items;
2. Self Regulatory Organizations (SROs);
3. Submission and/or Document Type;
4. Relationship;
5. Period; and
6. Date of Status.