

EDGAR Filer Access and Account Management

A Small Entity Compliance Guide¹

Introduction

On September 27, 2024, the Securities and Exchange Commission (the “SEC” or “Commission”) adopted rule and form amendments intended to enhance the security of its Electronic Data Gathering, Analysis, and Retrieval (“EDGAR”) system and improve filers’ access and account management capabilities (collectively, “EDGAR Next”). The amendments require EDGAR filers to authorize individuals who are responsible for managing their accounts, and individuals acting on behalf of EDGAR filers must present individual account credentials obtained from Login.gov to access filers’ EDGAR accounts and make filings. Form ID, the application for access to EDGAR, has been modernized to make the form more user-friendly and to improve the utility of the form for Commission staff. The SEC is also offering filers optional Application Programming Interfaces (“APIs”), a machine-to-machine method of making submissions, retrieving information, and performing account management tasks to improve the efficiency and accuracy of filers’ interactions with EDGAR. Among other things, these APIs allow filers to manage their EDGAR accounts with minimal manual interaction with EDGAR.

Who is affected by the amendments?

The amendments affect all filers who submit filings electronically on EDGAR, including entities (such as issuers and filing agents) as well as individuals (such as officers and directors subject to filing requirements pursuant to Section 16 of the Securities Exchange Act of 1934).

What changes were made by the amendments?

Filer Management Dashboard

A new dashboard is provided on EDGAR to allow filers to manage their accounts.

- The dashboard contains the names and email addresses of all individuals and entities who are authorized by the filer to act on the filer’s behalf on EDGAR. Individuals and entities included on the dashboard for the filer’s account are generally able to see the filer’s EDGAR information, including the filer’s CIK Confirmation Code (“CCC”) needed to submit filings on EDGAR.
- Individuals must be listed on the dashboard as being authorized to act in one or more specific roles for the filer, such as account administrators (to manage the filer’s account, manage the individuals on the filer’s account, and submit filings in EDGAR for the filer),

¹ This guide, dated March 24, 2025, was prepared by the staff of the Securities and Exchange Commission as a “small entity compliance guide” under Section 212 of the Small Business Regulatory Enforcement Fairness Act of 1996, as amended. The guide summarizes and explains rules adopted by the Commission but is not a substitute for any rule itself. Only the rule itself can provide complete and definitive information regarding its requirements.

users (to submit filings in EDGAR for the filer), or technical administrators (to manage the technical aspects of the filer's connection to EDGAR APIs).

- Amended rule 10(d)(2) of Regulation S-T provides that each filer must authorize and maintain a minimum of two account administrators to manage its EDGAR account, except that filers who are individuals or single-member companies may authorize and maintain a minimum of one account administrator. A "single-member company" is a company that has a single individual who acts as the sole equity holder, director, and officer (or, in the case of an entity without directors and officers, holds position(s) performing similar activities as a director and officer).
- Filers may also delegate filing permissions to any other EDGAR account, which will then be listed in the dashboard as a delegated entity for the filer.
- Amended rule 10(d)(3) of Regulation S-T provides that if the filer chooses to connect to EDGAR APIs, the filer, through its authorized account administrator(s), must authorize at least two technical administrators to manage technical matters related to the filer's connection to APIs, such as generating filer API tokens to identify the filer connecting to the APIs. This requirement does not apply, however, if the filer connects to the EDGAR APIs by using its delegated entity's filer API tokens and connections.
- Amended rules 10(d)(4), (5) and (6) of Regulation S-T provide that the filer, through its authorized account administrator(s), must: maintain accurate and current information on EDGAR concerning the filer's account; securely maintain information relevant to the ability to access the filer's account, including but not limited to access through any EDGAR APIs; and confirm annually on EDGAR that all account administrators, users, technical administrators, and/or delegated entities reflected on the dashboard for its EDGAR account are authorized to act on the filer's behalf, and that all information about the filer on the dashboard is accurate.

Form ID

Form ID, the application for access to EDGAR, has been modernized to make the form more user-friendly and to improve the utility of the form for Commission staff.

- Among other things, applicants seeking EDGAR access are required to list one or more prospective account administrators. If the Form ID application is granted, those account administrators will be granted access to manage the filer's account in the dashboard.
- Form ID is available in the new Filer Management dashboard.

Individual Account Credentials

Amended rule 10(d)(1) of Regulation S-T provides that a filer may only authorize individuals to act on its behalf if those individuals have obtained individual account credentials for EDGAR from Login.gov.

- Individuals accessing EDGAR to take actions on behalf of filers must enter individual account credentials and complete multifactor authentication to log into EDGAR.
- Individual account credentials are used to identify individuals logging into and taking actions on EDGAR. These credentials may not be shared with others.
- These credentials are not needed to browse public EDGAR.
- Individuals should use an email address that they intend to use for EDGAR purposes to obtain individual account credentials from Login.gov. This email address will be used for

EDGAR identification and communication and will also be visible to others on the Filer Management dashboard.

Application Programming Interfaces

Optional APIs are being provided to allow filers to make machine-to-machine connections to EDGAR.

- These optional APIs provide filers with a secure, efficient, and automated method of interacting with EDGAR, including for purposes of making submissions, retrieving information, and performing account management tasks.
- These APIs are available to filers that have enrolled in EDGAR Next or that have submitted Form ID applications that have been granted by SEC staff on or after March 24, 2025.

Definitions

Amended rule 11 of Regulation S-T adds new definitions relevant to EDGAR Next and revises existing definitions to update or delete references to outdated terminology.

What are the compliance dates of the amendments?

Enrollment Period Begins

- Enrollment opens on March 24, 2025 on the dashboard of the new [EDGAR Filer Management website](#). Individuals must present Login.gov individual account credentials and complete multifactor authentication to access the dashboard.
- Enrollment is a streamlined process that allows filers to transition to EDGAR Next without completing a new Form ID or presenting notarized documents or a power of attorney to SEC staff. All existing filers should enroll in EDGAR Next regardless of whether they are using a filing agent or other third-party service provider.
- Enrolled filers may immediately use all optional APIs to make filings, retrieve information, and manage their EDGAR accounts.
- To facilitate the transition for filers, submissions may be made on the legacy EDGAR filing websites according to the current access process through September 12, 2025.
- To avoid interruption in the ability to file on EDGAR, filers should enroll prior to the compliance date of September 15, 2025.
- New filers—and existing filers that do not have and are unable to [reset the information needed to enroll, such as their CIK, CCC, and passphrase](#)—must submit an amended Form ID on the dashboard on or after March 24, 2025, to apply for EDGAR access. Filers whose amended Form ID is granted on or after March 24, 2025 do not need to enroll.

Compliance Required for EDGAR Next

- On September 15, 2025, compliance with EDGAR Next will be required for all filers with regards to all EDGAR Next amendments. Login.gov individual account credentials and multifactor authentication will be required to log into all EDGAR filing websites, and filers will no longer be able to file on EDGAR using the legacy access process and codes.

- Enrollment will continue from September 15, 2025 to December 19, 2025 to allow filers to enroll and be able to file without submitting Form ID. Filers who have not enrolled by September 15, 2025, however, will not be able to file until they enroll. Enrollment ends December 19, 2025. After this date, filers who have not enrolled must submit a Form ID to request access to their existing EDGAR accounts.

Other Resources

The adopting release for these amendments can be found on the Commission’s website at <https://www.sec.gov/files/rules/final/2024/33-11313.pdf>.

More information about EDGAR Next is available at <https://www.sec.gov/edgar-next>.

Contacting the SEC

The Commission’s EDGAR Business Office (“EBO”) is happy to assist small companies and others with questions regarding the EDGAR Next rule and form amendments. You may contact EBO for this purpose at (202) 551-8900 from 9:00 am – 5:30 pm ET, Monday – Friday except federal holidays. Select option #2 for EDGAR Next help, and option #3 for filer technical support, including technical assistance with submitting Form ID.