

EXHIBIT O

Attach as Exhibit O a description of any specifications, qualifications, or other criteria which limit, are interpreted to limit, or have the effect of limiting access to, or use of, any clearing agency service furnished by the registrant and state the reasons for imposing such specifications, qualifications, or other criteria.

Client Services are offered to different Client Types as described in Exhibit J.

The Applicant's criteria for use of any Client Services will require each new Client to:

- provide requisite information on a DTCC Client Questionnaire (Attachment O-1), upon which the Applicant's parent conducts sanctions screenings against various watch lists and collects certain documents from Clients for purposes of Client verification;
- execute the relevant documentation provided in Exhibit P; and
- meet the Applicant's requirements for use of the "Services" (as defined in the MSA) and as set forth in various "Procedures" (as defined in the MSA) related thereto, as updated from time to time.

The Applicant's criteria for use of any Client Services will require each existing Client to:

- update the Applicant with any changes to requisite information provided on a DTCC Client Questionnaire (Attachment O-1);
- update the Applicant if any representations made in the relevant documentation provided in Exhibit P become untrue or materially incorrect;
- update the Applicant where the relevant documentation provided in Exhibit P requires the Client to make affirmative updates to Client details; and
- meet the Applicant's requirements for use of the "Services" (as defined in the MSA) and as set forth in various "Procedures" (as defined in the MSA) related thereto, as updated from time to time.