

EXHIBIT B

List in Exhibit B registrant's corporate officers, trust officers, managers or other persons occupying a similar status or performing similar functions who supervise, or are directly responsible for the conduct of, registrant's clearing agency activities, indicating for each: (a) Name, (b) Title, (c) Area of responsibility, (d) A brief account of the business experience during the last five (5) years.

The corporate officers of the Applicant are the Executive Officers set forth in Exhibit A. This Exhibit B provides the (a) Name, (b) Title, (c) Area of responsibility, (d) A brief account of the business experience during the last five (5) years for each of the Applicant's Executive Officers as well as for the Individuals Responsible for the Client Services.

Brian Steele

Brian Steele was appointed Principal of the Applicant on October 20, 2023 and serves as Chair of the Applicant's Board. Mr. Steele is also Managing Director, President, Clearing & Securities Services at DTCC, The Depository Trust Company ("DTC"), National Securities Clearing Corporation ("NSCC"), and Fixed Income Clearing Corporation ("FICC"). He is responsible for leading the firms' clearing and securities services businesses, including Equity Clearing and Settlement, Fixed Income Clearing, Wealth Management Services, Asset Services, and Institutional Trade Processing ("ITP"). He is a member of the DTCC Executive Committee, the DTCC Management Risk Committee, and the DTCC Investment Management Committee.

Mr. Steele has nearly 20 years of experience in financial services. Prior to his current role, from 2017 to 2023, Mr. Steele served as Managing Director of Client Coverage Group and Global Head of Client & Market Solutions at Goldman Sachs. Prior to that, from 2012 to 2017, Mr. Steele served as a senior leader in several key operations-related roles at Goldman Sachs, including as Global Head of Market Structure Solutions, Global Head of Equities Execution Services and Settlements, Global Head of Digital Transformation for the Operations Division, and Global Head of Market Solutions for the Operations Division.

Valentino Wotton

Valentino (Val) Wotton was appointed General Manager of the Applicant in April 2023, as described in Exhibit A. Mr. Wotton is a Managing Director at DTCC, a member of the Applicant's Board and a representative of DTCC on that Board.

DTCC Europe employs Mr. Wotton and he provides Support Services to the Applicant through the 2024 DTCC Europe Services Agreement between the Applicant and DTCC Europe.¹ Mr. Wotton oversees the Applicant's business including all Client Services described in Exhibit J. Mr. Wotton is responsible for the Client Services that the Applicant provides to its Clients, including enhancing Client Services as appropriate to assist Clients in meeting operational and compliance requirements. Among other things, Mr. Wotton is responsible for business initiatives related to accelerated settlement globally.

¹ Information about the Services Agreements and Service Providers is in Exhibit C.

Additionally, Mr. Wotton is the Regional Administrative Manager for DTCC EMEA, and Chairman of the DTCC EMEA Operating Committee. In this role, he oversees employee engagement, corporate social responsibility, DTCC brand awareness, and the execution of a variety of employee initiatives. From August 2017 to April 2023, Mr. Wotton was Managing Director of Product Development and Strategy, Repository and Derivatives Services at DTCC, where he was responsible for overseeing DTCC's Global Trade Repository service, DTCC Report Hub and Trade Information Warehouse. In that role, Mr. Wotton led efforts to identify opportunities to enhance services to meet the needs of DTCC's global client base while supporting DTCC's goals and continued focus on automation, standardization, and client experience.

Annette Nichols

Annette Nichols was appointed Secretary of the Applicant on May 27, 2020. She has been an Executive Director and the Corporate Secretary of DTCC since November 16, 2016. In such capacity, she oversees governance matters related to DTCC and its subsidiaries, including the Applicant.

Individuals Responsible for Client Services

Various individuals employed by the Service Providers perform Support Services for the Applicant pursuant to a Services Agreement between the Applicant and the Service Provider.² Sean McEntee, Managing Director at DTCC, is ultimately responsible for Product Management for the Applicant's CTM, TradeSuite ID and ALERT Client Services. Mr. McEntee has supported the Applicant since July 2002.

Additional support is received from personnel (whether dedicated or not) who provide Support Services pursuant to a Services Agreement. These personnel report into the applicable functional leadership at DTCC to ensure consistent implementation of Support Services across all subsidiaries. For example, legal and compliance personnel provide Support Services to the Applicant and report into the DTCC General Counsel, and senior IT personnel provide Support Services to the Applicant and report into the DTCC Chief Information Officer.

Other Persons Appointed by the Applicant

The General Manager of the Applicant is authorized to appoint additional "Officers," as that term is defined in the LLC Agreement.³ Although the Applicant has no other Executive Officers, there are various "Authorized Signatories" appointed pursuant to this section of the LLC Agreement. Pursuant to duly-authorized appointments, such Authorized Signatories are authorized to do the following: (i) to do and perform any and all such acts, including execution of any and all documents, certificates and filings in the name of and on behalf of the Applicant, as each such individual shall deem necessary or advisable, to carry out the responsibilities of his or her role; (ii) to execute certain client-related contracts on behalf of the Applicant, including but not limited to, the Master Services Agreement (the "MSA") and related schedules and amendments, notices and exhibits pertaining thereto, as well as partner agreements, non-disclosure agreements, and statements of work; and/or (iii) to execute certain statements of work as specified in the

² Information about the Services Agreements and Service Providers is in Exhibit C.

³ LLC Agreement, Section VI.b.i.B.

appointment. The Authorized Signatories do not supervise, nor are they directly responsible for, the conduct of the Applicant's clearing agency activities.