

## Notice of Covered Action

The Office of the Whistleblower has preliminarily determined to recommend that the Commission deny the above award claims for the reasons stated below.<sup>2</sup>

<sup>2</sup> To the extent Claimants have applied for an award in a related action, because they are not eligible for an award in an SEC Covered Action, they are not eligible for an award in connection with any related action. *See* 15 U.S.C. § 78u-6(b); Exchange Act Rule 21F-3(b), (b)(1); Rule 21F-4(g) and (f); Rule 21F-11(a); *see also* Order Determining Whistleblower Award Claim, Release No. 34-86902 (Sept. 9, 2019).

**FINAL ORDER – THIS PRELIMINARY SUMMARY DISPOSITION BECAME  
THE FINAL ORDER OF THE COMMISSION ON AUGUST 8, 2025,  
AS TO CLAIMANTS 2 AND 3 PURSUANT TO RULE 21F-10(f) OF  
THE SECURITIES EXCHANGE ACT OF 1934**

Notice of Covered Action [REDACTED]  
[REDACTED]  
[REDACTED]

Reference No. 08082025

Claimant 2 and Claimant 3 (“Joint Claimants”)

Joint Claimants did not provide information to the Commission that led to the successful enforcement of the referenced Covered Action within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(2) and (3) and 21F(c) thereunder. Joint Claimants’ information to the Commission did not cause the Commission to (a) commence an examination, open or reopen an investigation, or inquire into different conduct as part of a current Commission examination or investigation, and (b) thereafter bring an action based, in whole or in part, on conduct that was the subject of Joint Claimants’ information; or (2) significantly contribute to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act.

Staff opened an investigation into [REDACTED] and its subsidiaries (the “[REDACTED] Investigation”) based on information obtained from sources other than Joint Claimants. Staff on the [REDACTED] Investigation never received or reviewed Joint Claimants’ information or communicated with Joint Claimants during the course of the [REDACTED] Investigation, and Joint Claimants’ information was not used in the Covered Action.

By: The Office of the Whistleblower

Date: July 1, 2025