

Notice of Covered Action: 2025-081

In the Matter of American Electric Power Company, Inc.

OWB Reference No: 09122025

**PRELIMINARY SUMMARY DISPOSITION
OF THE OFFICE OF THE WHISTLEBLOWER**

In response to the above-referenced Notice of Covered Action, the U.S. Securities and Exchange Commission (“Commission”) received a whistleblower award claim from Carla McFarland (“Claimant”) for the above-referenced matter. Pursuant to Section 21F of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 21F-18 promulgated thereunder, the Office of the Whistleblower has evaluated the above claim in accordance with the criteria set forth in Rules 21F-1 through 21F-18 and has designated your award application for resolution through the summary disposition process.¹

The Office of the Whistleblower has preliminarily determined to recommend that the Commission deny the above award claim for the reasons stated below.²

Claimant did not provide information to the Commission that led to the successful enforcement of the referenced Covered Action within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(2) and (3) and 21F(c) thereunder. Claimant’s did not submit information to the Commission that caused the Commission to (a) commence an examination, open or reopen an investigation, or inquire into different conduct as part of a current Commission examination or investigation, and (b) thereafter bring an action based, in whole or in part, on conduct that was the subject of any information from Claimant; or (2) significantly contribute to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act.

Claimant failed to comply with the requirements of Rule 21F-9 of the Exchange Act by failing to submit any tip to the Commission, and Claimant is not eligible for a waiver under either Rule 21F-9(e) or the Commission’s other waiver authorities.

Additionally, Enforcement staff responsible for the Covered Action investigation did not receive or review information from the Claimant and did not have any communications with Claimant. As such, Claimant did not submit information that was used in or had any impact on the investigation or resulting Covered Action.

¹ See Exchange Act Rule 21F-18(a)(1)-(6).

² To the extent Claimant applied for an award in a related action, because Claimant is not eligible for an award in an SEC Covered Action, he/she is not eligible for an award in connection with any related action. See 15 U.S.C. § 78u-6(b); Exchange Act Rule 21F-3(b), (b)(1); Rule 21F-4(g) and (f); Rule 21F-11(a); see also Order Determining Whistleblower Award Claim, Release No. 34-86902 (Sept. 9, 2019).

**FINAL ORDER-THIS PRELIMINARY SUMMARY DISPOSITION BECAME
THE FINAL ORDER OF THE COMMISSION ON SEPTEMBER 12, 2025
PURSUANT TO RULE 21F-18(b)(4) OF THE SECURITIES EXCHANGE ACT OF 1934**

Notice of Covered Action: 2025-081
In the Matter of American Electric Power Company, Inc.
OWB Reference No: 09122025

Finally, Claimant submitted an untimely award application because Claimant failed to submit the claim for award to the Office of the Whistleblower within ninety (90) days of the date of the above-referenced Notice of Covered Action, as required under Rule 21F-10(b) of the Exchange Act.³

By: Office of the Whistleblower

Date: August 12, 2025

³ The deadline to file award claims for the Covered Action was May 29, 2025. Claimant's award application was dated July 2, 2025, more than a month after the deadline.