FINAL ORDER – THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION ON NOVEMBER 5, 2024 AS TO CLAIMANT 4 PURSUANT TO RULE 21F-10(F) OF THE SECURITIES EXCHANGE ACT OF 1934

Notice of Covered Action	

OWB Reference No. 11052024

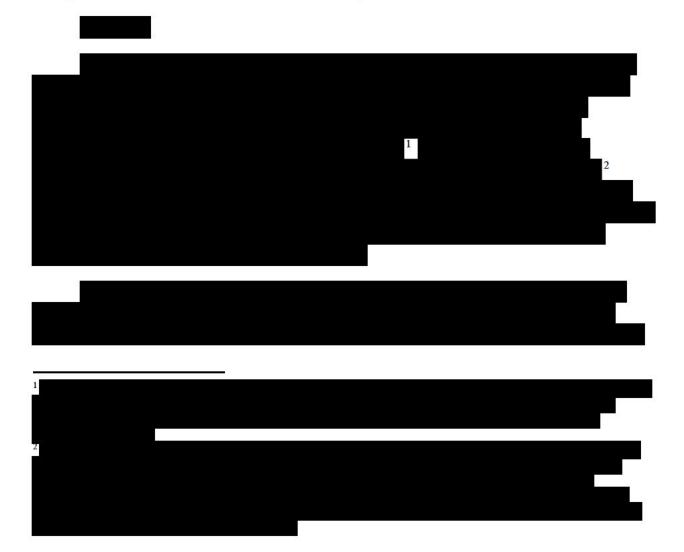
PRELIMINARY DETERMINATIONS OF THE CLAIMS REVIEW STAFF

In response to the above-referenced Notice of Covered Action, the U.S. Securities and

Exchange Commission ("Commission") received whistleblower award claims from

("Claimant 4"). Pursuant to Section 21F of the Securities Exchange Act of
1934 (the "Exchange Act") and Rule 21F-10 promulgated thereunder, the Claims Review Staff
("CRS") has evaluated these claims in accordance with the criteria set forth in Rules 21F-1

through 21F-18. The CRS sets forth its Preliminary Determinations as follows:





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Claimant 4

The CRS has also preliminarily determined to recommend that the Commission deny an award to Claimant 4. Under Exchange Act Rule 21-4(a)(1), a claimant satisfies the voluntariness requirement "if [they] provide [their] submission before a request, inquiry, or demand that relates to the subject matter of [their] submission is directed to" them by the Commission. 6 Investigative staff directed a request for information to Claimant 4 through Claimant 4's former employer, prior to Claimant 4's providing information to the Commission. Claimant 4's submission was not voluntary because it was submitted after receiving a request for information concerning the same subject matter from Enforcement staff through Claimant 4's then employer.

By: Claims Review Staff
Date: September 3, 2024

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⁶ Rule 21F-4(a)(1); 17 C.F.R. § 240.21F-4(a)(1).