

Data Disclosures – Temporary Conditional Exemptive Order for Municipal Advisor Activities*

Reporting Period June 16 – July 31, 2020	
Category	Data
Number of Issuers	2
Number of Issuances	3
Lowest aggregate principal amount	\$169,150
Highest aggregate principal amount	\$967,630
Median issuance principal amount	\$752,205

Reporting Period October 1 – November 30, 2020	
Category	Data
Number of Issuers	101
Number of Issuances	112
Lowest aggregate principal amount	\$38,950
Highest aggregate principal amount	\$18,285,000
Median issuance principal amount	\$2,237,500

Reporting Period August 1 – September 30, 2020	
Category	Data
Number of Issuers	35
Number of Issuances	36
Lowest aggregate principal amount	\$400,000
Highest aggregate principal amount	\$19,110,000
Median issuance principal amount	\$2,253,500

Reporting Period December 1, 2020 – January 30, 2021	
Category	Data
Number of Issuers	62
Number of Issuances	72
Lowest aggregate principal amount	\$20,260
Highest aggregate principal amount	\$15,854,440
Median issuance principal amount	\$2,341,500

*The information provided is based on notifications received from municipal advisors during each reporting period. The publication of this data is not intended as a view on compliance with the required terms and conditions of the Temporary Conditional Exemption. The Temporary Conditional Exemption expired on December 31, 2020. The final reporting period ended January 30, 2021 (under the terms of the Temporary Conditional Exemption, a Registered Municipal Advisor seeking to rely on the Temporary Conditional Exemption must notify staff of any Direct Placement for which it has relied on the Temporary Conditional Exemption no later than 30 calendar days after the sale of securities in the Direct Placement).