

UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION

DOCKETED  
APR 13 2000

\_\_\_\_\_  
SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

ALAN C. GIBBONS,

Defendant.  
\_\_\_\_\_

CIVIL ACTION  
NO.

FILED-EDA  
00 APR 12 PM 12:10  
U.S. DISTRICT COURT  
OF THE NORTHERN DISTRICT OF ILLINOIS

00C 2247  
JUDGE

MAGISTRATE  
JUDGE ANDERSEN

MAGISTRATE JUDGE DENLOW

COMPLAINT FOR PERMANENT INJUNCTION  
AND OTHER EQUITABLE RELIEF

Plaintiff United States Securities and Exchange Commission ("Commission")  
alleges as follows:

1. Alan C. Gibbons ("Gibbons") has engaged in transactions, acts, practices, and courses of business that constitute violations of Sections 17(a)(1), 17(a)(2), and 17(a)(3) of the Securities Act of 1933 ("Securities Act") [15 U.S.C. § 77q(a)], Section 10(b) of the Securities Exchange Act of 1934 ("Exchange Act") [15 U.S.C. §§ 78j(b)] and Rule 10b-5 thereunder [17 C.F.R. 240.10b-5].
2. The Commission brings this action to enjoin such transactions, acts, practices, and courses of business pursuant to Section 20(b) of the Securities Act [15 U.S.C. § 77t(b)] and Sections 21(d) and 21(e) of the Exchange Act [15 U.S.C. §§ 78u(d) and 78u(e)].

**JURISDICTION**

3. This Court has jurisdiction over this action pursuant to Section 22(a) of the Securities Act [15 U.S.C. § 77v(a)] and Section 27 of the Exchange Act [15 U.S.C. § 78aa].

4. Gibbons, unless enjoined, will continue to engage in the transactions set forth in this complaint and in transactions, acts, practices and courses of business of similar purport and object.

5. The transactions, acts, practices, and courses of business constituting the violations alleged herein occurred within the jurisdiction of the United States District Court for the Northern District of Illinois, and elsewhere.

6. Gibbons has made use of the mails, and of the means and instrumentalities of interstate commerce in connection with the transactions, acts, practices, and courses of business alleged herein in the Northern District of Illinois and elsewhere.

**DEFENDANT**

7. Gibbons, age 61, resides in Chicago, Illinois. From approximately September 1995 through March 1999, Gibbons was the owner of several companies, including Coex International, Ltd. ("Coex"), Intellitech, Inc. ("Intellitech"), iTrade International, Inc. ("iTrade"), First National Capital, Inc. ("First National"), and Lincoln Aztec Funding, Inc. ("Lincoln Aztec"). Gibbons used those companies in a scheme to misappropriate investors' funds

8. In 1989, the National Association of Securities Dealers ("NASD") permanently barred Gibbons from association with any member of the NASD and fined him \$25,000 because of, among other things, filing of inaccurate reports, failing to meet net capital requirements, failing to carry a blanket fidelity bond, and employing an unregistered person as president of a registered broker-dealer owned by Gibbons. District Business Conduct Committee v. Alan Gibbons, et al., NASD Decision in Complaint CHI-1139 (September 29, 1989).

9. In 1994, the Illinois Secretary of State permanently barred Gibbons from offering or selling securities in Illinois because of his involvement in a fraudulent investment scheme. In the Matter of Alan C. Gibbons, et al., IL Securities Dept., No. 9300140 (1994).

10 In 1996, in a criminal action based on the conduct described in Paragraph 9, Gibbons pleaded guilty to theft of over \$10,000 for defrauding an investor out of \$15,000. The court sentenced Gibbons to a three-year term of probation and ordered him to pay \$15,000 in restitution to the investor. Illinois v. Gibbons, 94 C.F. 1346 (1996).

FACTS

11. From approximately September 1995 through March 1999, Gibbons offered and sold approximately \$172,000 in stock and promissory notes issued by companies he formed and owned ("the Gibbons Companies") to at least seven investors.
12. The Gibbons Companies included Coex, Intellitech, iTrade, First National, and Lincoln Aztec.
13. Gibbons offered and sold investments in the Gibbons Companies on the telephone, through the Internet, and through newspaper advertisements. Gibbons also sent the investors investment materials through the mails.
14. Gibbons told the investors that they were providing funds for the operations of particular Gibbons Companies. However, the Gibbons Companies conducted little or no business.
15. Coex was purportedly an import/export company. However, Coex never imported or exported anything.
16. Intellitech was purportedly going to sell computer software. However, Intellitech never sold any software.
17. iTrade was purportedly going to write prospectuses for small companies to help them raise money. However, iTrade performed little, if any work on prospectuses and raised no money for any companies.
18. First National was purportedly to find funding for early stage companies. However, First National did not raise funds for any companies.
19. Lincoln Aztec was purportedly going to hold funds, in escrow, for investment in a private company that would merge with a shell company and go public. However, no

funds provided by investors for Lincoln Aztec were held in escrow or used for investment in that private company.

20. Instead of using the investors' funds for the Gibbons Companies, Gibbons used the investors' funds for his own personal expenses.

21. Gibbons represented to investors that he would deposit their funds into escrow accounts. However, Gibbons did not deposit investors' funds in any escrow accounts.

22. Instead, Gibbons commingled investors' funds in his personal and business bank accounts.

23. Gibbons told the investors that the investments were risk free and guaranteed. However, investments in the Gibbons Companies were very risky because the Gibbons companies engaged in little or no business, there was no guarantor, and Gibbons lacked sufficient liquid assets to repay the investors.

24. Gibbons promised large returns on investments in the Gibbons Companies. For example, Gibbons told an investor that he would double or triple his money in a few years by investing in Coex. Gibbons also provided investors with First National promissory notes that promised at least 5% per month returns on the investments.

25. When soliciting investors in the Gibbons Companies to invest more money or to give their investments more time, Gibbons promised returns of 30% to 50% in four months.

26. Gibbons did not pay investors any return on investments in the Gibbons Companies.

27. In connection with the offer and sale of stock and promissory notes of the Gibbons Companies, Gibbons made untrue statements of material facts to investors and prospective investors, concerning, among other things:

- a) the use of investor funds for business purposes;
- b) the safety of the investments, including statements that the investments were risk free, guaranteed, and held in escrow accounts;
- c) the liquidity of the investments, including statements that funds would be available on demand; and
- d) the return on investments, including statements that the investments would return at least 5% monthly and that investments would double or triple in a few years.

#### COUNT I

#### **Violations of Section 17(a)(1) of the Securities Act [15 U.S.C. § 77q(a)(1)]**

28. Paragraphs 1 through 27 are realleged and incorporated by reference.
29. From approximately September 1995, through March 1999, Defendant Gibbons, in the offer and sale of securities, namely, stock and promissory notes issued by the Gibbons Companies, by the use of the means and instruments of transportation and communication in interstate commerce and by the use of the mails, directly and indirectly, employed devices, schemes and artifices to defraud, as more fully described in Paragraph 30 below.

30. In the offer and sale of securities, and as part of a scheme to defraud, Defendant Gibbons made untrue statements of material facts and omitted to state material facts to investors and prospective investors, concerning, among other things, the use of investor funds, the risk involved in the investments, the liquidity of the investments, and the expected return on the investments, as more fully described in Paragraphs 11 through 27 above.

31. Defendant Gibbons knew or was reckless in not knowing of the facts and circumstances described in Paragraphs 28 through 30.

32. By reason of the activities described in Paragraphs 28 through 31, Defendant Gibbons violated Section 17(a)(1) of the Securities Act [15 U.S.C. § 77q(a)(1)].

## COUNT II

### **Violations of Section 17(a)(2) and 17(a)(3) of the Securities Act [15 U.S.C. §§ 77q(a)(2) and 77q(a)(3)]**

33. Paragraphs 1 through 27 are realleged and incorporated by reference.

34. From approximately September 1995 through March 1999, Defendant Gibbons, in the offer and sale of securities, namely, stock and promissory notes issued by the Gibbons Companies, by the use of the means and instruments of transportation and communication in interstate commerce and by the use of the mails, directly and indirectly, obtained money and property by means of untrue statements of material facts and omissions to state material facts necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; and engaged in transactions, practices and courses of business which operated and would operate as a

fraud and deceit upon purchasers and prospective purchasers of the securities, all as more fully described in Paragraphs 11 through 27 and 30 above.

35. By reason of the activities described in Paragraphs 33 and 34, Defendant Gibbons violated Sections 17(a)(2) and 17(a)(3) of the Securities Act [15 U.S.C. §§ 77q(a)(2) and 77q(a)(3)].

### COUNT III

#### **Violations of Section 10(b) of the Securities Exchange Act of 1934 ("Exchange Act") [15 U.S.C. § 78j(b)], and Rule 10b-5 thereunder [17 C.F.R. 240.10b-5].**

36. Paragraphs 1 through 27 are realleged and incorporated by reference.

37. From approximately September 1995 through March 1999, Defendant Gibbons, in connection with the purchase and sale of securities, namely stock and promissory notes issued by the Gibbons Companies, by the use of the means and instrumentalities of interstate commerce and by the use of the mails, directly and indirectly, employed devices, schemes and artifices to defraud; made untrue statements of a material facts and omitted to state material facts necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; and engaged in acts, practices, or courses of business which operated as a fraud or deceit upon persons, as more fully described in Paragraphs 11 through 27 and 30 above.

38. Defendant Gibbons knew or was reckless in not knowing of the facts and circumstances described in paragraphs 36 and 37 above.



39. By reason of the activities described in Paragraphs 36 through 38, Defendant Gibbons violated Section 10(b) of the Exchange Act [15 U.S.C. § 78j(b)], and Rule 10b-5 promulgated thereunder [17 C.F.R. 240.10b-5].

**RELIEF REQUESTED**

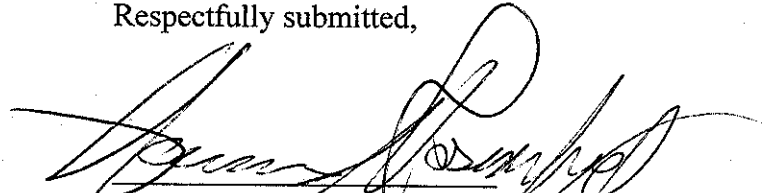
**WHEREFORE**, the Commission respectfully requests that this Court:

- A. Find that Gibbons committed the violations alleged above.
- B. Grant an Order of Permanent Injunction, in a form consistent with Rule 65(d) of the Federal Rules of Civil Procedure, restraining and enjoining Defendant Gibbons, his agents, servants, employees, and attorneys, and those persons in active concert or participation with him who receive actual notice of the Order of Permanent Injunction by personal service or otherwise, and each of them, directly or indirectly, from engaging in acts, transactions, practices or courses of business which violate Sections 17(a)(1), 17(a)(2), or 17(a)(3) of the Securities Act [15 U.S.C. §§ 77q(a)(1), 77q(a)(2), and 77q(a)(3)] or Section 10(b) of the Exchange Act [15 U.S.C. § 78j(b)] and Rule 10b-5 [17 C.F.R. Sect. 240.10b-5] promulgated thereunder, and from engaging in acts, transactions, practices, or courses of business of similar purport and object, in connection with the offer, purchase, or sale of the securities of any issuer.
- C. Grant an Order requiring Defendant Gibbons to disgorge any and all profits and monies received as a result of his illegal conduct as alleged herein, including prejudgment interest.

- D. Grant an Order imposing upon Defendant Gibbons appropriate civil penalties pursuant to Section 20(d) of the Securities Act [15 U.S.C. § 77t(d) and Section 21(d) of the Exchange Act [15 U.S.C. § 78u(d)].
- E. Retain jurisdiction of this action in accordance with the principals of equity and the Federal Rules of Civil Procedure in order to implement and carry out the terms of all orders and decrees that may be entered or to entertain any suitable application or motion for additional relief within the jurisdiction of this Court.
- F. Grant such other and additional relief as this Court may deem appropriate.

Dated: April 12, 2000

Respectfully submitted,



Jane E. Jarcho, IL ID# 06193642

James A. Davidson, IL ID # 06286786

Howard J. Rosenberg, IL ID # 06256596

Attorneys for Plaintiff

United States Securities and

Exchange Commission

500 West Madison Street, Suite 1400

Chicago, IL 60661

(312) 353-7390

JS 44  
(Rev. 12/96)

# CIVIL COVER SHEET

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

**I. (a) PLAINTIFFS**

U.S. Securities and Exchange Commission

DEFENDANTS **00C 2247**

Alan C. Gibbons

(b) COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF  
(EXCEPT IN U.S. PLAINTIFF CASES)

COUNTY OF RESIDENCE OF FIRST LISTED DEFENDANT Cook  
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

(c) ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER)

Jane E. Jarcho, James A. Davidson, Howard J. Rosenberg  
U.S. Securities and Exchange Commission  
500 W. Madison Street, Suite 1400  
Chicago, Illinois 60661-2511

ATTORNEYS (IF KNOWN)

**JUDGE ANDERSEN**  
**MAGISTRATE JUDGE DENLOW**

**DOCKET**

00 APR 12 PM 12:10  
U.S. DISTRICT COURT

**II. BASIS OF JURISDICTION** (PLACE AN "X" IN ONE BOX ONLY)

- U.S. Government Plaintiff
- U.S. Government Defendant
- Federal Question (U.S. Government Not a Party)
- Diversity (Indicate Citizenship of Parties in Item III)

**III. CITIZENSHIP OF PRINCIPAL PARTIES** (PLACE AN "X" IN ONE BOX FOR PLAINTIFF AND ONE BOX FOR DEFENDANT)

- |   |                            |                            |   |                            |                            |
|---|----------------------------|----------------------------|---|----------------------------|----------------------------|
|   | PTF                        | DEF                        |   | PTF                        | DEF                        |
| Citizen of This State                   | <input type="checkbox"/> 1 | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business in This State     | <input type="checkbox"/> 4 | <input type="checkbox"/> 4 |
| Citizen of Another State                | <input type="checkbox"/> 2 | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business in Another State | <input type="checkbox"/> 5 | <input type="checkbox"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 | Foreign Nation  | <input type="checkbox"/> 6 | <input type="checkbox"/> 6 |

**IV. ORIGIN**

(PLACE AN "X" IN ONE BOX ONLY)

- Original Proceeding
- Removed from State Court
- Remanded from Appellate Court
- Reinstated or Reopened
- Transferred from another district (specify)
- Multidistrict Litigation
- Appeal to District Judge from Magistrate Judgment

**V. NATURE OF SUIT** (PLACE AN "X" IN ONE BOX ONLY)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excl. Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability	<b>PERSONAL INJURY</b> <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury	<input type="checkbox"/> 610 Agriculture <input type="checkbox"/> 620 Other Food & Drug <input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 851 <input type="checkbox"/> 630 Liquor Laws <input type="checkbox"/> 640 R.R. & Truck <input type="checkbox"/> 650 Airline Regs <input type="checkbox"/> 660 Occupational Safety/Health <input type="checkbox"/> 690 Other	<input type="checkbox"/> 422 Appeal 28 USC 156 <input type="checkbox"/> 423 Withdrawal 28 USC 157 <b>PROPERTY RIGHTS</b> <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark	<input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce/ICC Rates/etc <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input checked="" type="checkbox"/> 810 Selective Service Securities/Commodities/Exchange <input type="checkbox"/> 875 Customer Challenge 12 USC 3410 <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 892 Economic Stabilization Act <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 894 Energy Allocation Act <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 900 Appeal of Fee Determination Under Equal Access to Justice <input type="checkbox"/> 950 Constitutionality of State Statutes <input type="checkbox"/> 990 Other Statutory Actions
<b>REAL PROPERTY</b> <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property	<b>CIVIL RIGHTS</b> <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 444 Welfare <input type="checkbox"/> 440 Other Civil Rights	<b>PRISONER PETITIONS</b> <input type="checkbox"/> 510 Motions to Vacate Sentence <b>HABEAS CORPUS:</b> <input type="checkbox"/> 530 General <input type="checkbox"/> 535 Death Penalty <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition	<b>LABOR</b> <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Mgmt Relations <input type="checkbox"/> 730 Labor/Mgmt. Reporting & Disclosure Act <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Empl. Ret. Inc. Security Act	<b>SOCIAL SECURITY</b> <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) <b>FEDERAL TAX SUITS</b> <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS - Third Party 26 USC 7609

**VI. CAUSE OF ACTION** (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE BRIEF STATEMENT OF CAUSE. DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY.)

Violations of Federal Securities Laws: Sections 17(a) of the Securities Act (15 U.S.C. § 77q(a)) and Section 10(b) of the Exchange Act (15 U.S.C. § 78j(b)) and Rule 10b-5 (17 C.F.R. § 240.10b-5) promulgated thereunder.

**VII. REQUESTED IN COMPLAINT**

CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23  DEMAND \$ \_\_\_\_\_ CHECK YES only if demanded in complaint JURY DEMAND:  YES  NO

**VIII. This case**

- is not a refiling of a previously dismissed action.
- is a refiling of case number \_\_\_\_\_, previously dismissed by Judge \_\_\_\_\_

DATE 4/12/00 SIGNATURE OF ATTORNEY OF RECORD Howard J. Rosenberg

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS

In the Matter of

U.S. Securities and Exchange Commission

Case Number **000 2247**

v.  
Alan C. Gibbons

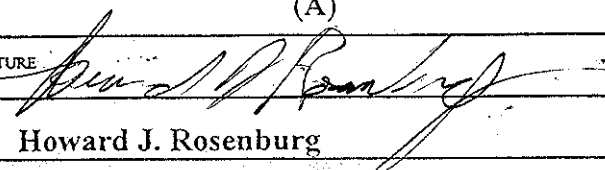
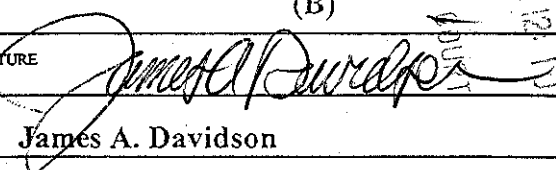
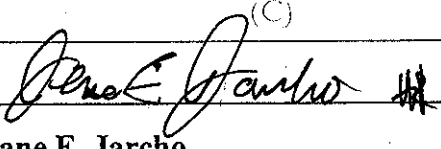
APPEARANCES ARE HEREBY FILED BY THE UNDERSIGNED AS ATTORNEY(S) FOR:

U.S. Securities and Exchange Commission

JUDGE ANDERSEN

MAGISTRATE JUDGE DENLOW

FILED-104  
APR 13 2000  
U.S. DISTRICT COURT  
CHICAGO, ILL.

(A)		(B)	
SIGNATURE 	SIGNATURE 	SIGNATURE	SIGNATURE
NAME <b>Howard J. Rosenberg</b>	NAME <b>James A. Davidson</b>	NAME	NAME
FIRM <b>U.S. Securities and Exchange Commission</b>	FIRM <b>U.S. Securities and Exchange Commission</b>	FIRM	FIRM
STREET ADDRESS <b>500 West Madison Street, Suite 1400</b>	STREET ADDRESS <b>500 West Madison Street, Suite 1400</b>	STREET ADDRESS	STREET ADDRESS
CITY/STATE/ZIP <b>Chicago, IL 60661-2511</b>	CITY/STATE/ZIP <b>Chicago, IL 60661-2511</b>	CITY/STATE/ZIP	CITY/STATE/ZIP
TELEPHONE NUMBER <b>(312) 353-7390</b>	TELEPHONE NUMBER <b>(312) 353-7390</b>	TELEPHONE NUMBER	TELEPHONE NUMBER
IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE) <b>6256595</b>	IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE) <b>06206786</b>	IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE)	IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE)
MEMBER OF TRIAL BAR? YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	MEMBER OF TRIAL BAR? YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	MEMBER OF TRIAL BAR?	MEMBER OF TRIAL BAR?
TRIAL ATTORNEY? YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	TRIAL ATTORNEY? YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	TRIAL ATTORNEY?	TRIAL ATTORNEY?
DESIGNATED AS LOCAL COUNSEL? YES <input type="checkbox"/> NO <input type="checkbox"/>	DESIGNATED AS LOCAL COUNSEL? YES <input type="checkbox"/> NO <input type="checkbox"/>	DESIGNATED AS LOCAL COUNSEL?	DESIGNATED AS LOCAL COUNSEL?
(C)		(D)	
SIGNATURE 	SIGNATURE	SIGNATURE	SIGNATURE
NAME <b>Jane E. Jarcho</b>	NAME	NAME	NAME
FIRM <b>U.S. Securities and Exchange Commission</b>	FIRM	FIRM	FIRM
STREET ADDRESS <b>500 West Madison Street, Suite 1400</b>	STREET ADDRESS	STREET ADDRESS	STREET ADDRESS
CITY/STATE/ZIP <b>Chicago, IL 60661-2511</b>	CITY/STATE/ZIP	CITY/STATE/ZIP	CITY/STATE/ZIP
TELEPHONE NUMBER <b>(312) 353-7390</b>	TELEPHONE NUMBER	TELEPHONE NUMBER	TELEPHONE NUMBER
IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE) <b>06193642</b>	IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE)	IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE)	IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE)
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TRIAL ATTORNEY? YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	TRIAL ATTORNEY? YES <input type="checkbox"/> NO <input type="checkbox"/>	TRIAL ATTORNEY?	TRIAL ATTORNEY?
DESIGNATED AS LOCAL COUNSEL? YES <input type="checkbox"/> NO <input type="checkbox"/>	DESIGNATED AS LOCAL COUNSEL? YES <input type="checkbox"/> NO <input type="checkbox"/>	DESIGNATED AS LOCAL COUNSEL?	DESIGNATED AS LOCAL COUNSEL?

PLEASE COMPLETE IN ACCORDANCE WITH INSTRUCTIONS ON REVERSE.

