

Chair Gary Gensler
Public Calendar
December 1, 2023, to December 30, 2023

Friday, December 1, 2023

7:00 am International Organization of Securities Commissions (IOSCO) Financial Stability Engagement Group (FSEG) Meeting

8:30 am Meeting with Staff

9:00 am Meeting with Staff

9:45 am Meeting with Nikhil Rathi, Chief Executive Officer, Financial Conduct Authority

10:30 am Meeting with Staff

11:00 am Meeting with Investment Adviser Association (IAA): Karen Barr, President & Chief Executive Officer, IAA; Gail Bernstein, General Counsel, IAA; Sanjay Lamba, Associate General Counsel, IAA; Danielle Nicholson Smith, Vice President and Managing Legal Counsel – U.S. Communications and Digital Services, T. Rowe Price; Jonathan Siegel, Vice President & Senior Legal Counsel, T. Rowe Price; Seth Rosenbloom, General Counsel, Betterment; Maria Chambers, Chief Compliance Officer, Klingenstein Fields Advisors

12:00 pm Meeting with Staff

12:55 pm Phone call with Commissioner

1:00 pm Meeting with Options Clearing Corporation (OCC): Andrej Bolkovic, Chief Executive Officer; Dan Busby, Chief Operating Officer; Megan Cohen, General Counsel; Julie Bauer, Chief External Relations Officer

1:44 pm Phone call with Staff

1:46 pm Phone call with Commissioner

2:00 pm Meeting with Staff

3:00 pm Meeting with Staff

4:30 pm Meeting with Jeremy Stein, Moise Y. Safra Professor of Economics, Harvard University

7:00 pm Meeting with Staff

Saturday, December 2, 2023

10:30 am Meeting with Staff

1:01 pm Phone call with Michael Froman, President, Council on Foreign Relations

1:52 pm Phone call with Staff

3:44 pm Phone call with Staff

7:00 pm White House Holiday Event

Sunday, December 3, 2023

9:15 am Meeting with Staff

1:15 pm Phone call with Staff

6:20 pm Meeting with Staff
6:47 pm Phone call with Staff
9:17 pm Phone call with Staff
10:45 pm Phone call with Staff

Monday, December 4, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
10:00 am Speaking engagement, Council on Foreign Relations' C. Peter McColough Series on International Economics
12:00 pm Meeting with Staff
12:30 pm Meeting with SEC Investor Advisory Committee (IAC) Executive Committee: Christopher Mirabile, Chair, IAC, and Senior Managing Director, Launchpad Venture Group; Leslie Van Buskirk, Vice Chair, IAC, and Administrator, Division of Securities at State of Wisconsin, Department of Financial Institutions; Ted Daniels, Secretary, IAC, and President, Society for Financial Education and Professional Development; Brian Hellmer, Assistant Secretary and Chair, Disclosure Subcommittee, IAC, and former Chief Investment Officer, Global Public Market Strategies, State of Wisconsin Investment Board; Cambria Allen-Ratzlaff, Chair, Investor as Owner Subcommittee, IAC, and Managing Director & Head of Investor Strategies, JUST Capital; Andrew Park, Chair, Market Structure Subcommittee, IAC, and Senior Policy Analyst, Americans for Financial Reform; Cien Asoera, Chair, Investor as Purchaser Subcommittee, IAC, and Financial Advisor, Edward Jones; Paul Royce, Chair, Access and Inclusion Working Group, IAC, and Former Senior Vice President & Senior Counsel, Fund Business Management Group
1:40 pm Meeting with Staff
1:50 pm Meeting with Staff
2:00 pm Meeting with Staff
2:30 pm Meeting with Staff
3:15 pm Meeting with Staff
4:00 pm Meeting with Staff
5:00 pm Meeting with Staff

Tuesday, December 5, 2023

8:00 am Meeting with Philip Jefferson, Vice Chair, Federal Reserve Board of Governors (FRB)
9:15 am Phone call with Staff
9:34 am Phone call with Staff
9:37 am Phone call with Staff
10:15 am Speaking engagement, The Messenger's AI: Balancing Innovation and Regulation event

11:30 am Meeting with Public Citizen: Rob Weissman, President; Lisa Gilbert, Executive Vice President; Richard Anthony, Emerging Tech Advocate; Bart Naylor, Financial Policy Advocate

12:15 pm Meeting with Staff

12:45 pm Meeting with Staff

1:30 pm Internal speaking engagement, Division of Trading and Markets AI Symposium

2:15 pm Meeting with Staff

3:00 pm Meeting with Staff

3:10 pm Meeting with Staff

3:35 pm Meeting with Staff

4:00 pm Interview with Nik De, CoinDesk

4:30 pm Meeting with Staff

5:00 pm Meeting with Staff

Wednesday, December 6, 2023

8:30 am Meeting with Staff

9:00 am Phone call with Staff

9:02 am Meeting with Staff

10:00 am Meeting with Staff

11:00 am Meeting with Staff

11:13 am Phone call with Staff

12:00 pm Meeting with Staff

12:30 pm Meeting with MIAX: Thomas Gallagher, Chairman & Chief Executive Officer, MIAX; Barbara Comly, Executive Vice President, General Counsel & Corporate Secretary, MIAX; Shelly Brown, Executive Vice President, Strategic Planning and Business Development, MIAX; Mark Bagan, Executive Vice President, U.S. Futures Strategy, MIH, and CEO & President, Minneapolis Grain Exchange, LLC; Joseph Ferraro III, Senior Vice President & Deputy General Counsel, MIH & MIAX Exchange Group, and President, MIAX Futures, LLC; Joseph Kamnik, Vice President & Senior Counsel, MIAX; Robert Castrignano, Managing Director, Financial Services, Piper Sandler

1:06 pm Phone call with Staff

1:30 pm Meeting with Edward Jones: Penny Pennington, Managing Partner; Ken Cella, Head of External Affairs; Keir Gumbs, General Counsel; Jessica Hopper, General Partner for Legal Regulatory Strategy; Bridget Farrell, Head of Legal Regulatory Policy

1:32 pm Phone call with Staff

2:15 pm Meeting with Staff

3:00 pm Meeting with Commissioner

3:51 pm Phone call with Erica Williams, Chair, Public Company Accounting Oversight Board (PCAOB)

4:15 pm Meeting with Staff

5:00 pm Meeting with Staff

Thursday, December 7, 2023

9:00 am Speaking engagement, American Bar Association (ABA) 2023 Federal Regulation of Securities Winter Meeting
10:30 am Speaking engagement, SEC IAC Meeting
11:03 am Phone call with Commissioner
11:39 am Phone call with Staff
12:00 pm Meeting with Jay Shambaugh, Under Secretary for International Affairs, U.S. Department of the Treasury
1:40 pm Meeting with Staff
2:00 pm Closed Commission Meeting
2:20 pm Phone call with Staff
3:10 pm Meeting with Commissioner
3:32 pm Phone call with Staff
4:00 pm Meeting with Staff
4:30 pm Meeting with Staff
5:00 pm Meeting with Staff

Friday, December 8, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
9:45 am Meeting with Staff
11:00 am Meeting with Staff

Saturday, December 9, 2023

12:33 pm Phone call with Staff
1:00 pm Phone call with Erica Williams, Chair, PCAOB

Sunday, December 10, 2023

4:54 pm Phone call with Staff

Monday, December 11, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
10:00 am Meeting with Staff
10:30 am Meeting with Staff
11:14 am Phone call with Staff
11:30 am Meeting with Staff
12:00 pm Meeting with Staff
12:52 pm Phone call with Staff

1:00 pm Meeting with Staff
2:00 pm Meeting with Staff
3:00 pm Meeting with IAA: Karen Barr, President & Chief Executive Officer, IAA; Gail Bernstein, General Counsel; Laura Grossman, Associate General Counsel; Josh Levit, Chief Compliance Officer, Private Markets, Americas, Invesco; Stephen Sullivan, Associate General Counsel, Invesco; Jonathan Siegel, Vice President & Senior Legal Counsel, T. Rowe Price; Gwen Reinke, Chief Compliance Officer, Vista Equity Partners; Simi Singh, Head of Compliance and Senior Counsel for Private and Public Equity, Vista Equity Partners
3:44 pm Phone call with Staff
3:45 pm Meeting with Staff
4:19 pm Phone call with Staff
4:30 pm Meeting with Staff
5:00 pm Meeting with Staff
5:54 pm Phone call with Staff
6:37 pm Phone call with Staff

Tuesday, December 12, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
10:00 am Meeting with Staff
11:00 am Meeting with Staff
11:30 am Meeting with Staff
12:11 pm Phone call with Staff
12:56 pm Phone call with Staff
1:00 pm Meeting with Staff
1:25 pm Phone call with Staff
1:30 pm Meeting with Staff
2:32 pm Phone call with Staff
2:35 pm Meeting with Jared Bernstein, Chair, Council of Economic Advisers
5:51 pm Phone call with Staff
6:25 pm Phone call with Erica Williams, Chair, PCAOB
6:58 pm Phone call with Staff

Wednesday, December 13, 2023

8:30 am Meeting with Staff
8:59 am Phone call with Staff
10:00 am Open Commission Meeting
1:00 pm Media Availability
1:45 pm Meeting with Staff
2:15 pm Meeting with Staff
3:00 pm Meeting with Commissioner
4:00 pm Meeting with Staff
6:40 pm Phone call with Staff

6:56 pm Phone call with Staff
7:32 pm Phone call with Rohit Chopra, Director, Consumer Financial Protection Bureau (CFPB)
7:37 pm Phone call with Staff
8:20 pm Phone call with Staff

Thursday, December 14, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
10:00 am Meeting with Staff
11:10 am CNBC Interview, Sara Eisen and Carl Quintanilla
12:00 pm Interview with Gillian Tett, Chair of the U.S. Editorial Board and America Editor-at-Large, Financial Times
1:30 pm Meeting with Commissioner
2:25 pm Phone call with Nellie Liang, Under Secretary for Domestic Finance, U.S. Department of the Treasury
3:00 pm Financial Stability Oversight Council (FSOC) Meeting
5:30 pm Meeting with Don Graves, Deputy Secretary, U.S. Department of Commerce
8:06 pm Phone call with Staff

Friday, December 15, 2023

8:30 am Meeting with Staff
9:44 am Phone call with Staff
9:56 am Phone call with Staff
10:00 am Meeting with Staff
10:30 am Meeting with Staff
11:30 am Meeting with Staff
12:00 pm Meeting with Staff
12:33 pm Phone call with Staff
1:00 pm Meeting with Staff
2:00 pm Meeting with Staff
3:00 pm Phone call with Senator Elizabeth Warren
3:30 pm Meeting with Staff
7:11 pm Phone call with Staff

Saturday, December 16, 2023

9:41 am Phone call with Staff
11:22 am Phone call with Staff
12:42 pm Phone call with Staff

Sunday, December 17, 2023

9:44 am Phone call with Staff

Monday, December 18, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
9:45 am Meeting with Staff
10:30 am Meeting with Staff
11:00 am Meeting with Staff
11:30 am Meeting with Staff
12:30 pm Meeting with Staff
1:39 pm Phone call with Staff
2:00 pm Meeting with Commissioner
2:45 pm Phone call with Staff
3:05 pm Meeting with Heather Boushey, Member, Council of Economic Advisers, and Chief Economist, Invest in America Cabinet
4:00 pm Meeting with Staff
4:27 pm Phone call with Staff
4:30 pm Meeting with Staff
5:00 pm Meeting with Staff

Tuesday, December 19, 2023

8:30 am Meeting with Staff
8:59 am Phone call with Commissioner
9:00 am Meeting with Staff
10:00 am Meeting with Staff
11:40 am Meeting with Liz Bloom, Speechwriter and Communications Adviser, Domestic Policy Counsel
12:00 pm National Security Council Deputies Meeting
2:22 pm Phone call with Commissioner
3:52 pm Phone call with Staff

Wednesday, December 20, 2023

8:30 am Meeting with Staff
8:54 am Phone call with Staff
9:00 am Meeting with Better Markets: Dennis Kelleher, President & Chief Executive Officer; Steve Hall, Legal Director & Securities Specialist; Brady Williams, Legal Counsel
10:15 am Meeting with Staff
10:59 am Phone call with Staff
11:00 am Meeting with Staff

11:30 am Meeting with Brian Nelson, Under Secretary for Terrorism and Financial Intelligence, U.S. Department of the Treasury
12:00 pm Meeting with International Swaps and Derivatives Association (ISDA): Scott O'Malia, Chief Executive Officer; Chris Young, Head of U.S. Public Policy; Steve Kennedy, Global Head of Policy; Ann Battle, Senior Counsel, Market Transitions
1:00 pm Meeting with Staff
1:55 pm Phone call with Staff
2:00 pm Meeting with Ed Bernard, Chair of the Board of Trustees, Financial Accounting Foundation
2:53 pm Phone call with Staff
3:45 pm Meeting with Staff
4:15 pm Meeting with Staff
5:00 pm Meeting with Staff
5:59 pm Phone call with Staff
6:55 pm Phone call with Staff
7:06 pm Phone call with Commissioner
7:16 pm Phone call with Staff
7:55 pm Phone call with Commissioner

Thursday, December 21, 2023

8:30 am Meeting with Staff
9:00 am Interview with Reuters: Chris Prentice, Douglas Gillison, Michelle Price
9:30 am Meeting with Staff
10:00 am Meeting with Staff
11:00 am Meeting with Staff
12:15 pm Interview with Bloomberg: Lydia Beyoud and Ally Versprille
12:54 pm Phone call with Staff
1:00 pm Interview with Declan Harty, Politico
1:30 pm Meeting with Staff
2:00 pm Closed Commission Meeting
4:00 pm Interview with Capitol Account: Robert Schmidt and Jesse Westbrook
4:42 pm Phone call with Staff
4:45 pm Interview with Paul Kiernan, The Wall Street Journal
5:21 pm Phone call with Staff
5:53 pm Phone call with Staff
7:04 pm Phone call with Erica Williams, Chair, PCAOB

Friday, December 22, 2023

8:35 am Phone call with Staff
8:36 am Phone call with Staff
9:00 am Meeting with Staff
10:00 am Meeting with Depository Trust and Clearing Corporation (DTCC): Frank La Salla, President & CEO; Ann Shuman, Managing Director, General Counsel;

Brian Steele, Managing Director, President of Clearing & Securities Services;
Laura Klimpel, Managing Director; Timothy Cuddihy, Managing Director, Group
Chief Risk Officer

11:00 am Meeting with Staff

12:14 pm Phone call with Staff

12:30 pm Meeting with Intercontinental Exchange (ICE): Jeffrey Sprecher, Chair & Chief
Executive Officer; Elizabeth King, President, Sustainable Finance; Chris
Edmonds, Chief Development Officer; Hope Jarkowski, General Counsel

2:03 pm Phone call with Staff

2:30 pm Meeting with Staff

3:18 pm Phone call with John Coates, John F. Cogan, Jr. Professor of Law and Economics,
Harvard Law School

4:30 pm Phone call with Staff

5:03 pm Phone call with Staff

Saturday, December 23, 2023

11:14 am Phone call with Staff

Tuesday, December 26, 2023

1:32 pm Phone call with Anne Neuberger, Deputy National Security Advisor for Cyber
and Emerging Technology, National Security Council

2:00 pm Meeting with Catherine Sharkey, Segal Family Professor of Regulatory Law and
Policy, New York University School of Law

3:07 pm Phone call with Staff

3:15 pm Meeting with Commissioner

Wednesday, December 27, 2023

2:00 pm Meeting with Darrell Duffie, Adams Distinguished Professor of Management and
Professor of Finance, Stanford Graduate School of Business

3:00 pm Meeting with Commissioner

Thursday, December 28, 2023

11:15 am Meeting with Lauren Cohen, L.E. Simmons Professor of Business, Harvard
Business School

12:30 pm Meeting with Larry Glosten, S. Sloan Colt Professor Emeritus of Banking and
International Finance, Columbia Business School

3:00 pm Meeting with Paul, Weiss, Rifkind, Wharton & Garrison LLP: Katherine Forrest,
Partner; Anna Gressel, Counsel

Friday, December 29, 2023

- 10:00 am Meeting with John Campbell, Morton L. and Carole S. Olshan Professor of Economics, Harvard University
- 11:13 am Phone call with Staff
- 11:30 am Meeting with James Tierney, Assistant Professor, Chicago-Kent College of Law; Benjamin Edwards, Professor of Law, University of Nevada, Las Vegas School of Law; Kyle Langvardt, Assistant Professor of Law, Nebraska College of Law
- 1:00 pm Meeting with Eric Budish, Paul G. McDermott Professor of Economics, University of Chicago Booth School of Business
- 3:03 pm Phone call with Staff