Chair Gary Gensler Public Calendar November 1, 2023, to November 30, 2023

Wednesday, November 1, 2023		
0.20		
8:30 am	Meeting with Staff	
8:59 am	Phone call with Staff	
9:15 am	Meeting with Janet Yellen, Secretary, U.S. Department of the Treasury, and	
	Nellie Liang, Under Secretary for Domestic Finance, U.S. Department of the	
0.45	Treasury	
9:45 am	Phone call with Staff	
10:00 am	Meeting with Securities Industry and Financial Markets Association (SIFMA): Ken Bentsen, President & Chief Executive Officer; Jennie Jacoby, Managing	
	Director, Federal Government Affairs, & Associate General Counsel; Salvatore	
	Chiarelli, Executive Vice President, Head of Conferences and Events; Lindsay	
	Gilbride, Vice President, Public Affairs; Cheryl Crispen, Executive Vice	
	President, Communications and Marketing	
10:30 am	Meeting with Staff	
11:00 am	Meeting with Staff	
12:00 pm	Meeting with Staff	
1:00 pm	Meeting with Charles Schwab Corporation: Peter Morgan, General Counsel;	
P	Jason Clague, Head of Operations; Jeffrey Starr, Head of Operational Services;	
	John Roeser, Chief Counsel, Trading and Operations; Jeffrey Brown, Senior Vice	
	President, Legislative and Regulatory Affairs; Scott Eckel, Vice President,	
	Legislative and Regulatory Affairs; Peter Roberson, Managing Director,	
	Legislative and Regulatory Affairs	
1:45 pm	Meeting with Staff	
2:30 pm	Meeting with Staff	
3:00 pm	Meeting with Commissioner	
3:45 pm	Meeting with Staff	
4:15 pm	Meeting with Staff	
5:00 pm	Meeting with Staff	
6:07 pm	Phone call with Staff	

Thursday, November 2, 2023

8:00 am	Meeting with Christine Lagarde, President, European Central Bank
8:42 am	Phone call with Staff
8:45 am	Meeting with Staff
11:00 am	Meeting with Staff
11:00 am	Meeting with Staff
11:30 am	Meeting with Staff
12:00 pm	Meeting with Staff

1:00 pm	Meeting with Staff
1:30 pm	Meeting with Staff
2:00 pm	Closed Commission Meeting
3:10 pm	Phone call with Staff
3:12 pm	Phone call with Staff
3:23 pm	Phone call with Staff
3:30 pm	Meeting with Staff
4:00 pm	Meeting with Staff
4:30 pm	Meeting with Staff
5:00 pm	Meeting with Staff

Friday, November 3, 2023

Meeting with Staff
Meeting with Staff
Meeting with Staff
Phone call with Staff
Meeting with Andrew Bailey, Governor, Bank of England
Meeting with Citadel Securities: Peng Zhao, Chief Executive Officer; Joe
Mecane, Head of Execution Services; Ryan VanGrack, U.S. General Counsel;
Stephen Berger, Global Head of Government & Regulatory Policy; Jonah Platt,
U.S. Head of Government & Regulatory Policy
Meeting with Staff
Financial Stability Oversight Council Meeting
Meeting with Staff
Meeting with Michael Barr, Vice Chair for Supervision, Federal Reserve Board of
Governors (FRB)
Phone call with Staff
Meeting with Commissioner

Saturday, November 4, 2023

9:30 am Meeting with Staff

Sunday, November 5, 2023

10:00 am	Meeting with Staff
8:40 pm	Meeting with Staff

Monday, November 6, 2023

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
10:00 am	Meeting with Staff
10:30 am	Meeting with Staff

11:00 am	Meeting with Staff
11:30 am	Meeting with Staff
12:00 pm	Pre-recorded internal remarks, SEC Native American Heritage Month event
12:30 pm	Meeting with Jay Shambaugh, Under Secretary for International Affairs, U.S.
	Department of the Treasury
1:00 pm	Meeting with Staff
2:00 pm	Meeting with Commissioner
3:00 pm	Meeting with Staff
3:30 pm	Meeting with Staff
4:30 pm	Meeting with Vanguard: Tim Buckley, Chairman & Chief Executive Officer;
	Matthew Benchener, Managing Director, Vanguard Personal Investor; George
	Gilbert, Head of U.S. Regulatory Affairs
5:30 pm	Meeting with Staff
6:30 pm	Phone call with Lael Brainard, Director, National Economic Council (NEC)

Tuesday, November 7, 2023

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
10:00 am	Meeting with Government Accountability Office
10:30 am	Meeting with Staff
11:00 am	Meeting with Staff
12:11 pm	Phone call with Commissioner
12:45 pm	Speaking engagement, SIFMA Annual Meeting
1:58 pm	Phone call with Staff
2:00 pm	Federal Housing Finance Oversight Board (FHFOB) Meeting
4:30 pm	Meeting with Staff
5:00 pm	Meeting with Staff
5:30 pm	Meeting with Staff

Wednesday, November 8, 2023

8:00 am	Meeting with Madhabi Puri Buch, Chairperson, Securities and Exchange Board of India (SEBI)
8:35 am	Phone call with Staff
8:45 am	Meeting with Staff
9:00 am	Meeting with Staff
9:30 am	Meeting with Greg Gilman, President, Chapter 293, National Treasury Employees
	Union (NTEU)
10:00 am	Meeting with Staff
10:39 am	Phone call with Staff
11:30 am	Speaking engagement, DC Fintech Week
12:00 pm	Interview with MacKenzie Sigalos, CNBC
12:20 pm	Interview with Leo Schwartz, Fortune Magazine
1:00 pm	Meeting with Staff
2:15 pm	Meeting with Staff

3:00 pm	Meeting with Commissioner
3:45 pm	Meeting with Staff
4:30 pm	Meeting with Commissioner
5:00 pm	Meeting with Staff
5:30 pm	Meeting with Staff
6:13 pm	Phone call with Wally Adeyemo, Deputy Secretary, U.S. Department of the
	Treasury
6:21 pm	Phone call with Staff
6:25 pm	Meeting with Staff
7:39 pm	Phone call with Robin Vince, President & Chief Executive Officer, BNY Mellon
8:20 pm	Phone call with Mike Pyle, Deputy National Security Advisor for International
	Economics, National Security Council (NSC)
8:32 pm	Phone call with Lael Brainard, Director, NEC
8:50 pm	Phone call with Staff
9:00 pm	Meeting with Staff
9:53 pm	Phone call with Wally Adeyemo, Deputy Secretary, U.S. Department of the
	Treasury
10:09 pm	Phone call with Staff

Thursday, November 9, 2023

8:22 am	Phone call with Staff
8:30 am	Meeting with Staff
8:34 am	Phone call with Michael Barr, Vice Chair for Supervision, FRB
9:00 am	U.S. Financial Regulators Meeting
9:23 am	Phone call with Staff
9:30 am	Meeting with Staff
10:00 am	Meeting with Staff
10:42 am	Phone call with Staff
10:49 am	Phone call with Staff
11:00 am	Meeting with Staff
11:30 am	Meeting with Staff
12:06 pm	Phone call with Wally Adeyemo, Deputy Secretary, U.S. Department of the
	Treasury
12:30 pm	Meeting with Brian Nelson, Under Secretary for Terrorism and Financial
	Intelligence, U.S. Department of the Treasury
12:58 pm	Phone call with Staff
1:00 pm	Meeting with Staff
1:30 pm	Meeting with Staff
2:00 pm	Closed Commission Meeting
2:53 pm	Phone call with Erica Williams, Chair, Public Company Accounting Oversight
	Board (PCAOB)
2:59 pm	Phone call with Staff
3:30 pm	Meeting with Staff
4:30 pm	Meeting with Staff
5:00 pm	Meeting with Staff

5:30 pm	Meeting with Staff
6:00 pm	U.S. Financial Regulators Meeting
7:02 pm	Phone call with Staff
7:08 pm	Phone call with Staff

Friday, November 10, 2023

8:17 am	Phone call with Staff
9:00 am	Meeting with BNY Mellon: Robin Vince, President & Chief Executive Officer;
	Jennifer Xi, Managing Director & Global Head of Public Policy and Government
	Affairs; Tatiana Campbell, Lead Analyst, Vice President
11:14 am	Phone call with Staff
11:18 am	Phone call with Staff
11:39 am	Phone call with Staff
1:00 pm	Meeting with Staff
1:30 pm	Meeting with Staff
3:23 pm	Phone call with Wally Adeyemo, Deputy Secretary, U.S. Department of the
	Treasury
5:01 pm	Phone call with Staff
6:42 pm	Phone call with Staff

Monday, November 20, 2023

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
10:00 am	Meeting with Erica Williams, Chair, PCAOB
11:00 am	Meeting with Staff
11:30 am	Meeting with Staff
12:30 pm	Meeting with Staff
1:00 pm	Meeting with Staff
1:45 pm	Meeting with Staff
2:00 pm	Meeting with Commissioner
2:45 pm	Meeting with Staff
3:00 pm	Meeting with Staff
4:00 pm	Meeting with Staff
4:28 pm	Phone call with Staff
4:30 pm	Meeting with Staff
5:00 pm	Meeting with Staff
6:00 pm	Meeting with Jen Easterly, Director, Cybersecurity and Infrastructure Security
	Agency (CISA)

Tuesday, November 21, 2023

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff

10:00 am	Meeting with Staff
11:00 am	Meeting with Staff
11:30 am	Meeting with Staff
12:00 pm	Meeting with Staff
12:35 pm	Meeting with Staff
1:00 pm	Meeting with Staff
2:00 pm	Meeting with Staff
5:30 pm	Meeting with Staff

Wednesday, November 22, 2023

8:00 am	Meeting with Verena Ross, Chair, European Securities and Markets Authority (ESMA)
8:45 am	Meeting with Staff
9:00 am	Meeting with Staff
10:00 am	Meeting with Staff
10:30 am	Meeting with Staff
11:00 am	Meeting with Staff
11:30 am	Meeting with Staff
12:00 pm	Meeting with Group of Thirty: Tim Geithner, President, Warburg Pincus; Darrell Duffie, Adams Distinguished Professor of Management & Professor of Finance, Stanford Graduate School of Business; Jeremy Stein, Moise Y. Safra Professor of Economics, Harvard University; Patrick Parkinson, Senior Fellow, Bank Policy Institute
1:00 pm	Meeting with Staff
3:00 pm	Meeting with Commissioner

Monday, November 27, 2023

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:50 am	Phone call with Staff
10:00 am	Meeting with Staff
10:30 am	Meeting with Staff
11:30 am	Meeting with Staff
11:30 am	Phone call with Staff
12:00 pm	Meeting with Staff
12:30 pm	Meeting with Staff
1:00 pm	Meeting with Staff
2:00 pm	Meeting with Staff
2:30 pm	Meeting with Staff
3:00 pm	Meeting with Staff
4:00 pm	Meeting with Staff
5:00 pm	Meeting with Staff

Tuesday, November 28, 2023

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:50 am	Meeting with Staff
10:00 am	Meeting with Staff
11:00 am	Meeting with Staff
12:00 pm	Meeting with Staff
12:30 pm	Meeting with Staff
1:00 pm	Meeting with Staff
2:00 pm	Meeting with Staff
2:30 pm	Meeting with SEC Small Business Capital Formation Advisory Committee
_	(SBCFAC) Executive Officers: Erica Duignan, General Partner, Reign Ventures,
	and Chair, SBCFAC; Sue Washer, Founder & former Chief Executive Officer,
	Applied Genetic Technologies Corporation, and Vice Chair, SBCFAC; Jasmin
	Sethi, Founder & Chief Executive Officer, Sethi Clarity Advisers, and Secretary,
	SBCFAC; Davyeon Ross, Co-Founder & President, ShotTracker, and Assistant
	Secretary, SBCFAC
3:02 pm	Phone call with Staff
3:05 pm	Phone call with Staff
3:15 pm	Meeting with Commissioner
4:00 pm	Meeting with Staff
4:30 pm	Meeting with Jerome Powell, Chair, FRB
5:00 pm	Meeting with Staff
J.oo piii	mooning with Staff

Wednesday, November 29, 2023

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
10:00 am	Speaking engagement, SEC SBCFAC Meeting
10:30 am	Meeting with Staff
11:00 am	Meeting with Staff
11:45 am	Bloomberg Interview
1:00 pm	Speaking engagement, Healthy Markets Association (HMA) Conference
2:30 pm	Meeting with Staff
3:00 pm	Meeting with Commissioner
4:15 pm	Meeting with Staff
5:00 pm	Meeting with Staff

Thursday, November 30, 2023

8:30 am	Meeting with Staff
9:06 am	Phone call with Staff
9:22 am	Phone call with Staff
9:49 am	Phone call with Staff

10:00 am	Meeting with Staff
11:00 am	Meeting with SIFMA: Ken Bentsen, President & Chief Executive Officer,
	SIFMA; Joe Siedel, Chief Operating Officer, SIFMA; Melissa MacGregor,
	Deputy General Counsel & Corporate Secretary, SIFMA; Kevin Carroll, Deputy
	General Counsel, Litigation and Private Client, SIFMA; Kevin Ehrlich, Managing
	Director, SIFMA Asset Management Group; Jennie Jacoby, Managing Director,
	Federal Affairs, and Associate General Counsel, SIFMA; Bridget Farrell,
	Associate General Counsel, Edward Jones; Mara Shreck, Managing Director,
	Regulatory Affairs, JP Morgan Asset & Wealth Management; Samuel Keenan,
	Vice President, Regulatory Affairs, JP Morgan Asset & Wealth Management; Karim Lynn, Senior Vice President & Deputy General Counsel, Fidelity
	Brokerage; Jay Haines, Senior Vice President & Deputy General Counsel,
	Fidelity Retirement; Kristy Croushore, Vice President, Federal Government
	Relations, Fidelity Investments; Jeff Robins, Partner, Debevoise & Plimpton;
	Kristin Snyder, Partner, Debevoise & Plimpton; Matt Kelly, Litigation Counsel,
	Debevoise & Plimpton
11:42 am	Phone call with Staff
11:45 am	Meeting with Staff
12:29 pm	Phone call with Staff
12:30 pm	Meeting with Staff
1:00 pm	Meeting with Staff
1:45 pm	Meeting with Staff
2:15 pm	Closed Commission Meeting
2:46 pm	Phone call with Staff
3:01 pm	Phone call with Commissioner
3:33 pm	Phone call with Staff
4:00 pm	Phone call with Staff Marking with Spiff Klaus Spring Administrator the Spring for Critical and
4:15 pm	Meeting with Saif Khan, Senior Advisor to the Secretary for Critical and
5:00 nm	Emerging Technologies, U.S. Department of Commerce Meeting with Staff
5:00 pm	Phone call with Staff
5:46 pm 6:15 pm	Phone call with Staff Phone call with Staff
6:33 pm	Phone call with Staff
0.33 pm	I HONE CAN WITH STATE