

**Chair Gary Gensler  
Public Calendar  
October 1, 2023, to October 31, 2023**

Sunday, October 1, 2023

11:22 am Phone call with Staff

Monday, October 2, 2023

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
11:22 am Phone call with Staff  
1:00 pm Meeting with Staff  
2:30 pm Meeting with Staff  
3:30 pm Meeting with Staff  
5:00 pm Meeting with Staff

Tuesday, October 3, 2023

7:00 am Financial Stability Board (FSB) Standing Committee on Assessment of Vulnerabilities (SCAV) Meeting  
9:00 am Meeting with Staff  
10:00 am Meeting with Staff  
11:45 am Meeting with Staff  
3:15 pm Meeting with Commissioner  
4:00 pm Meeting with Staff  
5:00 pm Meeting with Staff  
5:40 pm Phone call with Staff  
6:07 pm Phone call with Staff

Wednesday, October 4, 2023

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
10:30 am Meeting with Staff  
11:00 am Meeting with Staff  
1:11 pm Phone call with Staff  
1:15 pm Meeting with Staff  
2:00 pm Meeting with American Bankers Association: Rob Nichols, President & Chief Executive Officer; Tim Keehan, Senior Vice President, Asset Management; Ananda Radhakirshnan, Senior Vice President, Bank Derivatives Policy; Alison Touhey, Senior Vice President, Bank Funding Policy

3:00 pm Meeting with Commissioner  
3:45 pm Meeting with Staff  
4:15 pm Meeting with Staff  
5:00 pm Meeting with Staff

Thursday, October 5, 2023

8:30 am Meeting with Staff  
9:00 am Meeting with Nasdaq: Adena Friedman, President & Chief Executive Officer; Tal Cohen, President, Market Platforms; John Zecca, Executive Vice President & Global Chief Legal, Risk and Regulatory Officer; Jeffrey Davis, Senior Vice President & Senior Deputy General Counsel  
10:00 am Meeting with Staff  
10:53 am Phone call with Staff  
10:55 am Phone call with Lael Brainard, Director, National Economic Council (NEC)  
11:00 am Meeting with Committee on Capital Markets Regulation: Hal Scott, President; John Gulliver, Executive Director; Connor Kortje, Senior Research Fellow  
11:45 am Meeting with Staff  
12:30 pm Meeting with Staff  
1:30 pm Meeting with Staff  
1:45 pm Meeting with Staff  
1:45 pm Meeting with Staff  
2:00 pm Closed Commission Meeting  
2:29 pm Phone call with Staff  
2:45 pm Meeting with Staff  
2:56 pm Phone call with Staff  
3:00 pm Interview with Dave Michaels, The Wall Street Journal  
3:30 pm Meeting with Staff  
4:00 pm Meeting with Staff  
5:00 pm Phone call with Staff  
5:05 pm Meeting with Staff  
6:01 pm Phone call with Staff  
6:08 pm Phone call with Staff  
6:24 pm Phone call with Staff  
8:11 pm Phone call with Staff

Friday, October 6, 2023

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
9:57 am Phone call with Staff  
10:00 am Meeting with Staff  
11:13 am Phone call with Staff  
11:30 am Meeting with Staff  
12:15 pm Meeting with New York Stock Exchange (NYSE): Lynn Martin, President, NYSE; Jeffrey Sprecher, Chief Executive Officer, Intercontinental Exchange

(ICE); Hope Jarkowski, General Counsel, NYSE; Jon Herrick, Chief Product Officer, NYSE; Kevin Tyrrell, Head of Equities and Head of Research, NYSE; Elizabeth King, President, Sustainable Finance & Chief Regulatory Officer, ICE

1:00 pm Meeting with MEMX: Jonathan Kellner, Chief Executive Officer; Adrian Griffiths, Head of Market Structure; Anders Franzon, General Counsel; Ikee Gardner, Counsel

1:51 pm Phone call with Staff

2:00 pm Meeting with Staff

3:00 pm Meeting with Staff

3:23 pm Phone call with Staff

3:43 pm Phone call with Staff

4:00 pm Phone call with Staff

4:12 pm Phone call with Staff

4:17 pm Phone call with Staff

4:29 pm Phone call with Staff

Saturday, October 7, 2023

12:29 pm Phone call with Staff

Sunday, October 8, 2023

10:00 am Phone call with Staff

11:15 am Phone call with Staff

2:57 pm Phone call with Staff

Tuesday, October 10, 2023

8:30 am Meeting with American Farm Bureau Federation: Zippy Duvall, President; Travis Cushman, Deputy General Counsel, Litigation & Public Policy

8:34 am Phone call with Staff

8:38 am Phone call with Staff

9:15 am Meeting with Staff

10:00 am Meeting with Staff

11:00 am Meeting with Staff

11:42 am Phone call with Staff

12:00 pm Meeting with Staff

1:00 pm Meeting with Staff

2:00 pm Meeting with Staff

2:30 pm Meeting with Rostin Behnam, Chairman, Commodity Futures Trading Commission (CFTC)

3:14 pm Phone call with Staff

3:30 pm Meeting with Commissioner

4:00 pm Meeting with Staff

4:38 pm Phone call with Staff

4:57 pm Phone call with Staff  
5:00 pm Meeting with Staff  
5:51 pm Phone call with Staff  
6:10 pm Phone call with Staff  
6:17 pm Phone call with Staff

Wednesday, October 11, 2023

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
10:00 am Meeting with Staff  
11:00 am Statement Review  
11:30 am Meeting with Staff  
12:31 pm Phone call with Staff  
1:00 pm Meeting with Staff  
2:00 pm SEC Town Hall  
2:30 pm Meeting with Staff  
3:00 pm Meeting with Commissioner  
3:45 pm Meeting with ICE: Jeffrey Sprecher, Chief Executive Officer; Hope Jarkowski, General Counsel, NYSE  
4:15 pm Meeting with Staff  
5:00 pm Meeting with Staff  
6:23 pm Phone call with Staff  
8:00 pm Phone call with Staff

Thursday, October 12, 2023

8:30 am Meeting with Staff  
8:54 am Phone call with Staff  
9:00 am Meeting with Brian Nelson, Under Secretary for Terrorism and Financial Intelligence, U.S. Department of the Treasury  
9:35 am Phone call with Staff  
9:39 am Phone call with Commissioner  
9:45 am Meeting with Staff  
10:00 am Meeting with Staff  
11:00 am Meeting with Staff  
11:48 am Phone call with Staff  
11:55 am Meeting with Commissioner  
12:09 pm Phone call with Commissioner  
12:30 pm Meeting with Staff  
12:55 pm Phone call with Staff  
1:00 pm Meeting with Investors Exchange (IEX): Brad Katsuyama, Chief Executive Officer; John Ramsay, Chief Market Policy Officer  
1:52 pm Phone call with Staff  
2:10 pm Meeting with Staff  
3:15 pm Meeting with Staff

3:00 pm Meeting with Mehrsa Baradaran, Professor of Law, University of California, Irvine School of Law  
3:45 pm Meeting with Commissioner  
4:00 pm Interview with Financial Times: Stefania Palma and Patrick Jenkins  
4:30 pm Meeting with Staff  
5:00 pm Meeting with Staff  
5:35 pm Meeting with Staff

Friday, October 13, 2023

8:30 am Meeting with Staff  
8:56 am Phone call with Commissioner  
9:00 am Meeting with Staff  
9:04 am Phone call with Staff  
9:34 am Phone call with Commissioner  
9:35 am Open Commission Meeting  
12:00 pm Media Availability  
12:06 pm Phone call with Staff  
12:30 pm Phone call with Staff  
12:44 pm Phone call with Staff  
12:47 pm Phone call with Staff  
12:56 pm Phone call with Staff  
1:00 pm Meeting with Staff  
2:00 pm Meeting with Staff  
2:50 pm Phone call with Staff  
3:00 pm Meeting with Staff  
3:30 pm Meeting with Erica Williams, Chair, Public Company Accounting Oversight Board (PCAOB)  
4:00 pm Meeting with Staff  
5:54 pm Phone call with Staff  
6:27 pm Phone call with Staff

Sunday, October 15, 2023

4:30 pm Phone call with Commissioner

Monday, October 16, 2023

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
9:45 am Meeting with Staff  
10:30 am Meeting with Staff  
11:29 am Phone call with Staff  
11:31 am Phone call with Commissioner  
12:00 pm Meeting with Staff

1:00 pm Meeting with Staff  
2:00 pm Meeting with Staff  
3:00 pm Meeting with Jerome Powell, Chair, Federal Reserve Board of Governors (FRB)  
3:29 pm Phone call with Staff  
3:45 pm Meeting with Staff  
4:15 pm Meeting with Staff  
5:00 pm Meeting with Staff  
5:32 pm Phone call with Staff

Tuesday, October 17, 2023

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
9:45 am Meeting with Staff  
10:30 am Meeting with Staff  
11:19 am Phone call with Staff  
11:30 am Meeting with Jon Cunliffe, Governor, Bank of England; Dave Ramsden, Deputy Governor for Markets and Banking; Martin Gruenberg, Chair, Federal Deposit Insurance Corporation (FDIC); Michael Barr, Vice Chair for Supervision, FRB; Rostin Behnam, Chair, Commodity Futures Trading Commission (CFTC)  
2:30 pm Meeting with Staff  
3:15 pm Meeting with Commissioner  
4:00 pm Meeting with Cboe Global Markets: Fred Tomczyk, Chief Executive Officer; Dave Howson, Global President; Patrick Sexton, General Counsel; Adam Inzirillo, Global Head of Data and Assets Solutions; Angelo Evangelo, Chief Policy Officer; Lita Frazier-Brannan, Vice President, Government Relations  
5:00 pm Meeting with Staff  
6:45 pm Phone call with Staff  
8:13 pm Phone call with Staff

Wednesday, October 18, 2023

8:30 am Meeting with Staff  
9:04 am Phone call with Staff  
10:00 am Open Commission Meeting  
11:30 am Media Availability  
12:00 pm Meeting with Staff  
1:00 pm Internal speaking engagement, Hispanic Heritage Month Signature Event  
1:20 pm Meeting with Staff  
2:00 pm Meeting with Staff  
3:00 pm Internal speaking engagement, Enforcement Awards Ceremony  
3:45 pm Meeting with Staff  
4:15 pm Meeting with Staff  
5:00 pm Meeting with Staff

Thursday, October 19, 2023

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
9:45 am Meeting with Staff  
11:00 am Meeting with Staff  
11:19 am Phone call with Staff  
12:00 pm Meeting with Citadel Securities: Joe Mecane, Head of Execution Services; Ryan VanGrack, U.S. General Counsel; Stephen Berger, Global Head of Government & Regulatory Policy; Jonah Platt, U.S. Head of Government & Regulatory Policy; Sahand Rabbani, Quantitative Research; Gregg Berman, Managing Director, Market Analytics and Regulatory Structure  
1:00 pm Meeting with Staff  
2:00 pm Meeting with Staff  
2:15 pm Closed Commission Meeting  
3:14 pm Phone call with Staff  
3:30 pm Meeting with Staff  
4:15 pm Meeting with Better Markets: Dennis Kelleher, President & Chief Executive Officer; Steve Hall, Legal Director & Securities Specialist; Scott Farnin, Legal Counsel  
5:00 pm FSB Interagency Principals Meeting

Friday, October 20, 2023

7:00 am FSB Steering Committee Meeting  
9:00 am Meeting with Staff  
9:45 am Meeting with Staff  
10:00 am Interview with Chris Matthews, MarketWatch  
10:45 am Meeting with Staff  
11:30 am Meeting with Staff  
12:15 pm Meeting with Staff  
1:00 pm Meeting with The Vanguard Group: Tim Buckley, President & Chief Executive Officer; Gerry O'Reilly, Principal, Indexed Equity Portfolio Management; George Gilbert, Head of US Regulatory Affairs  
1:38 pm Phone call with Staff  
1:45 pm Meeting with Staff  
2:00 pm Meeting with Staff  
3:11 pm Phone call with Duane DesParte, Board Member, PCAOB  
4:00 pm Phone call with Luis Videgaray, Senior Lecturer, Sloan School of Management, Massachusetts Institute of Technology (MIT), and Director, MIT AI Policy for the World Project

Monday, October 23, 2023

8:30 am Meeting with Staff

9:00 am Meeting with Staff  
9:30 am Meeting with UBS: Colm Kelleher, Chairman of the Board of Directors; Markus Ronner, Group Chief Compliance and Governance Officer, and Chairman, UBS Switzerland; John Nolan, Managing Director, Federal Affairs Manager  
10:15 am Meeting with Staff  
11:00 am Meeting with Staff Meeting  
11:30 am Meeting with Staff  
12:30 pm Meeting with Staff  
1:00 pm Meeting with Staff  
2:00 pm Meeting with Commissioner  
2:45 pm Meeting with Staff  
4:00 pm Meeting with Staff  
4:30 pm Meeting with Staff  
5:00 pm Meeting with Staff  
7:10 pm Phone call with Commissioner  
8:21 pm Phone call with Staff

Tuesday, October 24, 2023

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
9:30 am Meeting with Staff  
10:15 am Meeting with Staff  
11:00 am Meeting with Staff  
11:30 am Meeting with Steven Maijoor, Executive Board Member of Supervision, De Nederlandsche Bank  
12:15 pm Meeting with Staff  
1:00 pm Meeting with Staff  
2:00 pm Meeting with Staff  
2:30 pm FSB SCAV Meeting  
4:30 pm Meeting with Staff  
5:00 pm Meeting with Mark Carney, Chair & Head of Transition Investing, Brookfield Asset Management  
6:09 pm Phone call with Staff

Wednesday, October 25, 2023

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
9:52 am Phone call with Staff  
10:00 am Meeting with EY: Julie Boland, U.S. Chair & Managing Partner and Americas Managing Partner; Tony Jordan, Ethics and Compliance Leader; Dante D'Egidio, EY Americas Vice Chair for Assurance; Katrina Kimpel, EY Americas Vice Chair for Professional Practice; Bridget Neill, EY Americas Vice Chair, Public Policy  
10:45 am Meeting with Staff



11:15 am Meeting with Staff

12:30 pm Speaking engagement, Securities Enforcement Forum

2:30 pm Meeting with UK Parliamentarians

3:30 pm Meeting with Commissioner

4:15 pm Meeting with North American Securities Administrators Association (NASAA): Claire McHenry, President, NASAA, and Deputy Director, Securities Bureau, Nebraska Department of Banking and Finance; Leslie Van Buskirk of Wisconsin, President-Elect, NASAA, and Administrator, Division of Securities, Wisconsin Department of Financial Institutions; Melanie Senter Lubin, Maryland Securities Commissioner, and NASAA Representative to FSOC; Joey Brady, Executive Director, NASAA; Kristen Hutchens, Director of Policy and Government Affairs, NASAA; Vince Martinez, General Counsel, NASAA

5:00 pm Meeting with Staff

5:43 pm Phone call with James Gorman, Chairman & Chief Executive Officer, Morgan Stanley

Thursday, October 26, 2023

8:00 am Meeting with Tobias Adrian, Financial Counsellor & Director of the Monetary and Capital Markets Department, International Monetary Fund (IMF)

8:40 am Meeting with Staff

9:00 am Phone call with Staff

9:30 am Speaking engagement, U.S. Chamber of Commerce event

10:45 am Meeting with Staff

11:41 am Phone call with Staff

11:43 am Phone call with Staff

11:50 am Phone call with Staff

12:00 pm Meeting with Gina Raimondo, Secretary, U.S. Department of Commerce

1:15 pm Meeting with Staff

1:17 pm Phone call with Staff

1:30 pm Meeting with Staff

2:00 pm Closed Commission Meeting

3:00 pm Meeting with Staff

4:00 pm Meeting with Staff

4:30 pm Meeting with Staff

5:00 pm Meeting with Staff

5:52 pm Phone call with Staff

5:55 pm Phone call with Bharat Ramamurti, former Deputy Director, NEC

5:59 pm Phone call with Jon Donenberg, Chief of Staff to Senator Elizabeth Warren

Friday, October 27, 2023

8:00 am Meeting with Staff

8:30 am Meeting with CFA Institute Systemic Risk Council (SRC): Simon Johnson, Co-Chair, SRC; Erkki Liikanen, Co-Chair, SRC, and Chairman, IFRS Foundation Board of Trustees; Sheila C. Bair, Senior Advisor & Founding Chair, SRC; Jean-

Claude Trichet, Senior Advisor, SRC; Brooksley Born, former Chair, CFTC; Sharon Bowles, former Member of European Parliament; Marina Brogi, Full Professor of Banking and Capital Markets, Sapienza University; Andreas Raymond Dombret, Board Member, Bank of International Settlements; José Manuel González-Páramo, former Member, European Central Bank (ECB) Executive Board; René Karsenti, Senior Advisor to the International Capital Market Association (ICMA); Elke König, former Chair, Single Resolution Board; Christina Romer, Garff B. Wilson Professor of Economics, University of California, Berkeley; Kurt N. Schacht, Executive Director, CFA Institute

9:30 am International Organization of Securities Commissions (IOSCO) Financial Stability Engagement Group (FSEG) Meeting

10:30 am Meeting with Staff

11:00 am Meeting with Staff

12:03 pm Phone call with Staff

12:10 pm Phone call with Staff

12:15 pm Meeting with Rohit Chopra, Director, Consumer Financial Protection Bureau (CFPB)

1:20 pm Meeting with Lisa Monaco, Deputy Attorney General, U.S. Department of Justice

1:30 pm White House Meeting

3:00 pm Meeting with David Turk, Deputy Secretary, U.S. Department of Energy

3:05 pm Meeting with Anne Neuberger, Deputy National Security Advisor, Cyber & Emerging Tech, National Security Council

3:18 pm Phone call with Staff

4:00 pm Meeting with Staff

4:30 pm Meeting with Staff

5:00 pm Meeting with Staff

6:10 pm Phone call with Staff

Saturday, October 28, 2023

9:00 am Meeting with Staff

10:42 am Phone call with Staff

4:23 pm Phone call with Mike Pyle, Deputy National Security Advisor

Sunday, October 29, 2023

12:00 pm Meeting with Staff

1:31 pm Phone call with Staff

2:10 pm Phone call with Bharat Ramamurti, former Deputy Director, NEC

2:44 pm Phone call with Staff

Monday, October 30, 2023

8:30 am Meeting with Staff

9:00 am Meeting with Staff

9:45 am Meeting with Staff  
 10:15 am Meeting with Staff  
 11:24 am Phone call with Staff  
 11:26 am Phone call with Staff  
 11:41 am Phone call with Staff  
 12:00 pm National Security Council Deputies Committee Meeting  
 1:30 pm Meeting with Robert Silvers, Under Secretary for Strategy, Policy, and Plans,  
 U.S. Department of Homeland Security  
 1:45 pm Phone call with Staff  
 2:08 pm Phone call with Staff  
 2:30 pm White House Executive Order Signing  
 3:33 pm Phone call with Staff  
 3:50 pm Meeting with Staff  
 4:15 pm Meeting with Staff  
 5:00 pm Meeting with Staff

Tuesday, October 31, 2023

8:30 am Meeting with Staff  
 9:00 am Meeting with Staff  
 9:30 am Meeting with Staff  
 10:00 am Meeting with Staff  
 10:30 am Meeting with Agustín Carstens, General Manager, Bank for International  
 Settlements (BIS)  
 11:15 am Meeting with Staff  
 12:00 pm Meeting with Treasury Borrowing Advisory Committee (TBAC): Deirdre Dunn,  
 Co-Head of Global Rates, Citi, and Chair, TBAC; Colin Teichholtz, Head of  
 Markets and Principal, Element Capital Management, and Vice Chair, TBAC;  
 Lew Alexander, Head of Economic Research, Rokos Capital Management; Greg  
 Davis, Chief Investment Officer, Vanguard; Kevin Gaffney, Chief Investment  
 Officer, Money Market Group, Fixed Income, Fidelity Investments; Jason Granet,  
 Chief Investment Officer, Bank of New York Mellon; Gil Holmes, Global Head  
 of Non-Linear and Structured Rates Trading, J.P. Morgan; Chris Leonard,  
 Managing Director and Head of U.S. Rates Sales and Trading, Deutsche Bank;  
 Mohit Mittal, Managing Director, PIMCO; David Rogal, Managing Director,  
 BlackRock; Alex Schiller, Fixed Income Research Lead, Bridgewater Associates;  
 Anshul Sehgal, Co-Head of U.S. Interest Rate Products Trading, Goldman Sachs;  
 Gagan Singh, Chief Investment Officer, PNC Financial Services Group; John  
 Uglum, Chief Investment Officer, Bank of America; Ellen Zentner, Managing  
 Director and Chief Economist, Morgan Stanley  
 1:30 pm Meeting with Staff  
 2:00 pm Meeting with Staff  
 2:30 pm Phone call with Commissioner  
 3:15 pm Meeting with Commissioner  
 4:00 pm Meeting with Staff  
 5:00 pm Meeting with Staff

5:44 pm Phone call with Staff  
5:54 pm Phone call with Staff  
7:38 pm Phone call with Luis Videgaray, Senior Lecturer, MIT Sloan School of Management, and Director, MIT AI Policy for the World Project