

Chair Gary Gensler
Public Calendar
September 1, 2023, to September 30, 2023

Friday, September 1, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
9:45 am Meeting with Staff
10:30 am Meeting with Staff
11:00 am Meeting with Staff
12:00 pm Meeting with Staff
1:00 pm Meeting with Staff
1:30 pm Meeting with Staff
2:00 pm Meeting with Thirty Percent Coalition: Julie Zuraw, Chief Executive Officer, Thirty Percent Coalition; Susan Angele, Board Chair, Thirty Percent Coalition; Esther Aguilera, Chair, Policy Committee, Thirty Percent Coalition, and Senior Advisor, Altura Capital; Tara Giunta, Partner, Paul Hastings; Gianna McCarthy, Director of Corporate Governance, New York State Common Retirement Fund; Dianne McKeever, Chief Investment Officer & Managing Partner, Ides Capital Management
2:45 pm Meeting with Staff
3:30 pm Meeting with Staff
4:30 pm Meeting with Staff
5:54 pm Phone call with Staff

Saturday, September 2, 2023

10:54 am Phone call with Commissioner

Tuesday, September 5, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
9:45 am Meeting with Staff
11:00 am Meeting with Staff
11:48 am Phone call with Staff
12:00 pm Meeting with Staff
12:55 pm Phone call with Staff
1:00 pm Meeting with Congressman Brad Sherman
1:30 pm Meeting with Staff
1:45 pm Meeting with Brad Katsuyama, Chief Executive Officer, IEX Exchange
2:30 pm Meeting with Staff
2:59 pm Phone call with Staff

3:15 pm Meeting with Commissioner
4:00 pm Meeting with Staff
5:00 pm Meeting with Staff
7:29 pm Phone call with Staff
8:07 pm Phone call with Staff
8:19 pm Phone call with Staff
8:37 pm Phone call with Staff

Wednesday, September 6, 2023

6:58 am Phone call with Staff
7:05 am Phone call with Staff
7:14 am Phone call with Staff
7:20 am Meeting with Staff
7:38 am Phone call with Staff
8:30 am Meeting with Staff
9:00 am Meeting with Staff
10:00 am Open Commission Meeting
11:30 am Meeting with Staff
12:00 pm Meeting with Staff
12:30 pm Meeting with Staff
1:00 pm Meeting with Commissioner
1:05 pm Meeting with Staff
1:45 pm Meeting with Commissioner
2:15 pm Meeting with Staff
3:00 pm Meeting with Commissioner
4:00 pm Meeting with Staff
5:00 pm Meeting with Staff
6:00 pm Meeting with Commissioner
6:30 pm Meeting with Staff
7:00 pm Meeting with Commissioner

Thursday, September 7, 2023

8:05 am Phone call with Staff
8:30 am Meeting with Staff
9:00 am Meeting with Staff
10:00 am Meeting with Staff
10:30 am Meeting with Barclays: C.S. Venkatakrishnan, Global Chief Executive Officer;
Brendan Reilly, Head of Regulatory Affairs, Americas
11:30 am Meeting with Staff
12:30 pm Meeting with Staff
12:55 pm Phone call with Staff
1:15 pm Meeting with Staff
1:45 pm Meeting with Staff
2:00 pm Phone call with Staff

2:15 pm Closed Commission Meeting
3:55 pm Phone call with Staff
4:00 pm Meeting with Staff
4:45 pm Meeting with Staff
5:10 pm Meeting with Staff
6:00 pm Meeting with Commissioner
6:16 pm Phone call with Staff
6:35 pm Phone call with Staff
6:50 pm Meeting with Staff
7:00 pm Meeting with Commissioner

Friday, September 8, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
10:00 am Meeting with Commissioner
10:54 am Phone call with Staff
10:58 am Phone call with Staff
11:00 am Meeting with Staff
11:45 am Meeting with Staff
12:30 pm Meeting with Staff
1:00 pm Meeting with Staff
1:30 pm Meeting with Staff
1:57 pm Phone call with Staff
1:59 pm Phone call with Senator Sherrod Brown
2:19 pm Phone call with Staff
2:20 pm Meeting with Staff
3:15 pm Meeting with Staff
4:00 pm Meeting with Staff
5:57 pm Phone call with Staff
6:22 pm Phone call with Staff

Monday, September 11, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
9:45 am Meeting with Staff
10:15 am Meeting with Staff
11:00 am Meeting with Staff
11:30 am Meeting with Staff
12:30 pm Meeting with Staff
2:00 pm Meeting with Commissioner
3:00 pm Meeting with Staff
5:00 pm Meeting with Staff
5:30 pm Meeting with Staff
8:01 pm Phone call with Staff

8:26 pm Phone call with Staff
8:53 pm Phone call with Staff
9:19 pm Phone call with Staff

Tuesday, September 12, 2023

8:30 am Meeting with Staff
9:10 am Meeting with Commissioner
10:00 am Testimony, Senate Committee on Banking, Housing, and Urban Affairs
1:37 pm Phone call with Staff
1:49 pm Phone call with Staff
2:00 pm Meeting with Staff
3:00 pm Meeting with Staff
3:45 pm Meeting with Staff
4:13 pm Phone call with Staff
4:50 pm Meeting with Staff
5:00 pm Meeting with Staff

Wednesday, September 13, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
9:10 am Meeting with Staff
9:15 am Meeting with Staff
9:30 am Meeting with Staff
10:00 am Meeting with Staff
10:30 am Meeting with Joseph Grundfest, William A. Franke Professor of Law and Business, Stanford Law School, and Senior Faculty, The Arthur and Toni Rembe Rock Center for Corporate Governance, Stanford University
11:30 am Meeting with Staff
12:15 pm Speaking engagement, Better Markets 15th Anniversary Lehman Collapse Conference
2:00 pm Meeting with Staff
2:30 pm Meeting with Staff
3:00 pm Meeting with Erica Williams, Chair, Public Company Accounting Oversight Board (PCAOB)
3:30 pm Meeting with Staff
4:15 pm Meeting with Staff
5:00 pm Meeting with Staff
5:58 pm Phone call with Staff
7:00 pm Meeting with U.S. House Committee on Financial Services Democratic Caucus

Thursday, September 14, 2023

8:17 am Phone call with Staff

9:16 am Phone call with Staff
 9:30 am Meeting with Staff
 10:00 am Meeting with Staff
 10:30 am Meeting with Staff
 11:00 am Meeting with Staff
 11:57 am Phone call with Staff
 12:00 pm Meeting with Staff
 1:30 pm Meeting with Staff
 1:30 pm Meeting with Staff
 2:00 pm Closed Commission Meeting
 3:46 pm Phone call with Staff
 4:03 pm Phone call with Staff
 4:11 pm Phone call with Staff
 4:20 pm Phone call with Staff
 4:30 pm Interview with Job Candidate
 5:00 pm Meeting with Staff
 5:33 pm Phone call with Commissioner
 5:46 pm Phone call with Staff
 8:21 pm Phone call with Staff
 8:30 pm Meeting with China Securities Regulatory Commission (CSRC): Yi Huiman,
 Chairman; Fang Xinghai, Vice Chairman
 10:30 pm Meeting with Staff

Friday, September 15, 2023

8:30 am Meeting with Staff
 9:00 am Meeting with Staff
 10:00 am Meeting with PCAOB: Erica Williams, Chair; Christina Ho, Board Member; Kara
 Stein, Board Member; Anthony Thompson, Board Member; Duane DesParte,
 Board Member
 11:00 am Meeting with Staff
 12:00 pm Meeting with Staff
 12:48 pm Phone call with Staff
 1:00 pm Meeting with Staff
 2:00 pm Meeting with Staff

Monday, September 18, 2023

8:30 am Meeting with Staff
 9:00 am Meeting with Staff
 9:45 am Meeting with Staff
 10:30 am Meeting with Staff
 11:18 am Phone call with Staff
 11:30 am Meeting with Staff
 12:00 pm Meeting with Staff
 12:30 pm Meeting with Staff

1:40 pm Phone call with Staff

2:00 pm Meeting with SEC Small Business Capital Formation Advisory Committee (SBCFAC) leadership: Erica Duignan, Chair, SBCFAC, and Founder & General Partner, Reign Ventures; Sue Washer, Vice Chair, SBCFAC, Founder and former Chief Executive Officer, Applied Genetic Technologies Corporation; Jasmin Sethi, Secretary, SBCFAC, and Founder & Chief Executive Officer; Sethi Clarity Advisers; Davyeon Ross, Assistant Secretary, SBCFAC, and Co-Founder & President, DDSports/ShotTracker

2:30 pm Meeting with Staff

3:30 pm Meeting with SEC Investor Advisory Committee (IAC) Executive Committee: Christopher Mirabile, Chair, IAC, and Senior Managing Director, Launchpad Venture Group; Leslie Van Buskirk, Vice Chair, IAC, and Administrator, Division of Securities at State of Wisconsin, Department of Financial Institutions; Ted Daniels, Secretary, IAC, and President, Society for Financial Education and Professional Development; Brian Hellmer, Assistant Secretary, IAC, and former Chief Investment Officer, Global Public Market Strategies, State of Wisconsin Investment Board; Cambria Allen-Ratzlaff, Chair, Investor as Owner Subcommittee, IAC, and Managing Director & Head of Investor Strategies, JUST Capital; Andrew Park, Chair, Market Structure Subcommittee, IAC, and Senior Policy Analyst, Americans for Financial Reform; Cien Asoera, Chair, Investor as Purchaser Subcommittee, IAC, and Financial Advisor, Edward Jones; Paul Royce, Chair, Access and Inclusion Working Group, IAC, and Former Senior Vice President & Senior Counsel, Fund Business Management Group

4:00 pm Meeting with Staff

4:30 pm Meeting with Staff

5:00 pm Meeting with Staff

5:59 pm Phone call with Staff

Tuesday, September 19, 2023

8:30 am Meeting with Staff

9:00 am Meeting with Staff

9:44 am Phone call with Staff

10:00 am SEC Small Business Capital Formation Advisory Committee Meeting

10:30 am Meeting with Brian Nelson, Under Secretary for Terrorism and Financial Intelligence, U.S. Department of the Treasury

11:20 am Phone call with Staff

11:29 am Phone call with Staff

11:45 am Meeting with Staff

12:45 pm Meeting with Staff

1:34 pm Phone call with Staff

1:40 pm Phone call with Staff

1:43 pm Phone call with Staff

1:52 pm Phone call with Staff

2:00 pm Meeting with Staff

3:15 pm Meeting with Commissioner

4:00 pm Meeting with Staff
4:56 pm Phone call with Staff
5:00 pm Meeting with Staff
6:15 pm Phone call with Staff
8:30 pm Phone call with Commissioner

Wednesday, September 20, 2023

8:14 am Phone call with Commissioner
8:30 am Meeting with Staff
8:51 am Phone call with Commissioner
8:58 am Phone call with Staff
9:00 am Phone call with Commissioner
9:09 am Phone call with Staff
9:23 am Phone call with Commissioner
9:29 am Phone call with Staff
9:31 am Phone call with Commissioner
9:42 am Phone call with Commissioner
9:45 am Phone call with Commissioner
9:52 am Phone call with Commissioner
9:55 am Phone call with Commissioner
10:00 am Open Commission Meeting
11:30 am Media Availability
12:30 pm Meeting with Staff
1:00 pm Meeting with Staff
1:19 pm Phone call with Staff
1:30 pm Meeting with Commissioner
2:15 pm Meeting with Staff
3:00 pm Meeting with Dr. Tony Allen, President, Delaware State University, and Chair,
President's Board of Advisors on Historically Black Colleges and Universities
3:30 pm Meeting with Staff
4:15 pm Meeting with Staff
5:00 pm Meeting with Staff
5:33 pm Phone call with Staff
6:00 pm Meeting with Neil MacBride, General Counsel, U.S. Department of the Treasury
6:23 pm Phone call with Staff

Thursday, September 21, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
9:33 am Phone call with Kurt Campbell, Deputy Assistant to the President and
Coordinator for Indo-Pacific Affairs, National Security Council
10:05 am SEC Investor Advisory Committee Meeting
10:00 am Phone call with Staff

11:00 am Meeting with Securities Industry and Financial Markets Association (SIFMA): Ken Bentsen, President & Chief Executive Officer, SIFMA; Joe Siedel, Chief Operating Officer, SIFMA; Jennie Jacoby, Managing Director & Associate General Counsel, Federal Affairs, SIFMA; Josh Wilsusen, Executive Vice President of Advocacy; Kyle Brandon, Managing Director, Head of Derivatives, SIFMA; Bill Thum, Managing Director, SIFMA Asset Management Group; Saima Ahmed, General Counsel, SIFMA; Rob Toomey, Head of Capital Markets, SIFMA; Michael Kelleher, Managing Director, Americas Head Equity Finance, J.P. Morgan; Michael Winnike, Director, Head of US Market Structure, BlackRock

11:45 am Meeting with Staff

11:45 am Meeting with Staff

12:31 pm Phone call with Staff

12:36 pm Phone call with Staff

12:39 pm Phone call with Sam Woods, Deputy Governor for Prudential Regulation & Chief Executive Officer of the Prudential Regulation Authority, Bank of England

1:00 pm Closed Commission Meeting

3:30 pm Meeting with Jay Shambaugh, Under Secretary for International Affairs, U.S. Department of the Treasury

4:15 pm Phone call with Staff

4:30 pm Meeting with Staff

5:00 pm Meeting with Staff

6:14 pm Phone call with Staff

7:30 pm Meeting with Nicholas Burns, U.S. Ambassador to the People's Republic of China

Friday, September 22, 2023

7:37 am Phone call with Staff

9:30 am Financial Stability Oversight Council Meeting

11:00 am Meeting with Rostin Behnam, Chairman, Commodity Futures Trading Commission (CFTC)

11:40 am Phone call with Staff

12:05 pm Meeting with Staff

1:15 pm Phone call with Brian Nelson, Under Secretary for Terrorism and Financial Intelligence, U.S. Department of the Treasury

1:30 pm Meeting with Jerome Powell, Chair, Federal Reserve Board of Governors (FRB)

2:00 pm Meeting with Staff

3:00 pm Meeting with Staff

3:46 pm Phone call with Staff

3:50 pm Phone call with Staff

4:00 pm Meeting with Staff

4:02 pm Phone call with Staff

4:30 pm Meeting with Lorie Logan, President & Chief Executive Officer, Federal Reserve Bank of Dallas

5:15 pm Meeting with Staff

6:00 pm Meeting with Commissioner

Saturday, September 23, 2023

10:00 am Phone call with Staff
11:14 am Phone call with Staff
11:18 am Phone call with Staff
12:29 pm Phone call with Staff
2:20 pm Phone call with Staff
3:00 pm Phone call with Staff
4:43 pm Phone call with Staff
5:36 pm Phone call with Staff

Sunday, September 24, 2023

1:21 pm Phone call with Staff
5:59 pm Phone call with Staff

Tuesday, September 26, 2023

7:00 am Financial Stability Board (FSB) Steering Committee on Non-Bank Financial Intermediation (SCN) Meeting
9:00 am Meeting with Staff
9:45 am Meeting with Staff
10:30 am Meeting with Staff
11:00 am Meeting with Staff
12:00 pm Meeting with Staff
1:00 pm Meeting with Staff
1:30 pm Meeting with Staff
3:30 pm Meeting with Commissioner
4:11 pm Phone call with Commissioner
4:14 pm Phone call with Staff
4:30 pm Meeting with Lisa Monaco, Deputy Attorney General, U.S. Department of Justice
4:56 pm Phone call with Staff
5:00 pm Meeting with Staff
5:30 pm Meeting with Mindy Lubber, President, Ceres
6:08 pm Phone call with Staff
6:17 pm Phone call with Staff
7:12 pm Phone call with Mary Schapiro, Vice Chair, Global Public Policy, Bloomberg
8:48 pm Phone call with Staff
9:03 pm Phone call with Staff

Wednesday, September 27, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff

9:38 am Phone call with Staff
10:00 am Testimony, House Financial Services Committee
4:00 pm Meeting with Staff
4:55 pm Meeting with Staff
5:00 pm Meeting with Staff
6:10 pm Phone call with Staff
6:26 pm Phone call with Staff

Thursday, September 28, 2023

8:30 am Meeting with Staff
8:34 am Phone call with Staff
9:00 am Meeting with Staff
9:30 am Meeting with Staff
10:00 am Meeting with Staff
11:00 am Meeting with Staff
11:30 am Meeting with Staff
12:00 pm Meeting with International Swaps and Derivatives Association (ISDA): Alex Finston, Co-Head of IG Credit Sales and Trading, Goldman Sachs; Ryan Jachym, Managing Director, Office of Government Affairs, Goldman Sachs; Lisa Cavallari, Senior Director, Derivatives Trading, Russell Investments; Colin Lloyd, Partner, Sullivan & Cromwell; Christopher Young, Head of U.S. Public Policy, ISDA
12:40 pm Meeting with Staff
1:00 pm Closed Commission Meeting
3:30 pm Meeting with Staff
4:15 pm Meeting with Staff
4:30 pm Meeting with Staff
5:00 pm Meeting with Staff
5:56 pm Phone call with Staff

Friday, September 29, 2023

8:30 am Meeting with Staff
9:00 am Meeting with Staff
9:47 am Phone call with Staff
10:00 am Meeting with U.S. Chamber of Commerce Center for Capital Markets Competitiveness (CCMC): David Hirschmann, President & Chief Executive Officer; Tom Quaadman, Executive Vice President; Bill Hulse, Senior Vice President; Evan Williams, Executive Director
11:00 am SEC Town Hall
11:40 am Meeting with Staff
12:00 pm Meeting with Financial Industry Regulatory Authority (FINRA): Robert Cook, President & Chief Executive Officer; Bob Colby, Executive Vice President & Chief Legal Officer; Nathaniel Stankard, Executive Vice President & Chief of

Staff to the Chief Executive Officer for Regulatory Operations; Greg Dean, Senior Vice President for Government Affairs

1:00 pm Meeting with Managed Funds Association (MFA): Bryan Corbett, President & Chief Executive Officer, MFA; Jennifer Han, Executive Vice President, Chief Counsel & Head of Global Regulatory Affairs, MFA; Joseph Schwartz, Director & Counsel, Regulatory Affairs, MFA; Ajay Salhotra, Chief Compliance Officer, PDT Partners, LLC; Matthew Lillvis, Deputy General Counsel, Millennium Management LLC; Stephen Berger, Managing Director, Government and Regulatory Policy, Citadel LLC

1:40 pm Meeting with Staff

2:00 pm Meeting with Commissioner

2:40 pm Meeting with Staff

3:00 pm Meeting with Staff

3:30 pm Meeting with Staff

4:15 pm Phone call with Staff

4:54 pm Phone call with Rohit Chopra, Director, Consumer Financial Protection Bureau (CFPB)

5:27 pm Phone call with Staff

5:41 pm Phone call with Staff

Saturday, September 30, 2023

9:15 am Phone call with Erica Williams, Chair, PCAOB

10:19 am Phone call with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation (FDIC)