

Form CA-1 – EXHIBIT B

Exhibit Request:

List in Exhibit B the registrant's corporate officers, trust officers, managers or other persons occupying a similar status or performing similar functions who supervise, or are directly responsible for the conduct of, registrant's clearing agency activities, indicating for each:

a) Name

b) Title

c) Area of responsibility

d) A brief account of the business experience during the last five (5) years.

Response:

The details of Cboe Clear U.S. LLC ("CCUS") management and executives are as follows:

- 1) Name: Dale Michaels
Title: Head of Cboe Clear US
Area of responsibility: The Head of Cboe Clear US shall be responsible for all aspects of the business and for implementing CCUS long and short-term plans. Head of Cboe Clear US shall administer the day-to-day affairs and business of CCUS and have supervision of the officers and staff appointed. The Head of the Cboe Clear US or the CRO shall have the authority to declare a Member or User to be in Default.
Business experience during the last five (5) years: Mr. Michaels was appointed Head of Cboe Clear US for Cboe Global Markets, Inc. ("CGM") in 2025 when he joined the company. Mr. Michaels previously served from 2016 through 2024 as the Chief Financial Risk Officer at the Options Clearing Corporation where he directed all first-line risk management activities across credit, collateral & liquidity, stress-testing, pricing, and quantitative model development. Prior to that, Mr. Michaels served as Managing Director, Risk Management at CME Group, where he was responsible for all first-line risk activities across the business of futures and OTC activity. Mr. Michaels earned a Bachelor's degree in finance and economics from Illinois State University and an MBA in finance from DePaul University. He is also a Chartered Financial Analyst.

- 2) Name: Lauren Arbid
Title: Head of Market Structure
Area of responsibility: The Head of Market Structure is responsible for managing the CCUS clearing business, leading strategy development and execution across Product, Business Development, Membership, and Clearing Advisory Services.
Business experience during the last five (5) years: Mrs. Arbid has held the Head of Market Structure for Cboe Clear US as of 2025 when she joined the company. Mrs. Arbid previously served as U.S. Head of Relationship Management for ABN AMRO Clearing USA where she was employed for 10+ years. She is currently serving as an elected Board of Governor for the Security Traders Association (“STA”); and past President for the Chicago chapter (“STAC”). Mrs. Arbid is a graduate of the University of Maryland; College Park with a Bachelor of Arts degree in Economics and held Series 3, 7, 63, and 24 registrations.
- 3) Name: Joshua Iverson
Title: Chief Risk Officer (“CRO”)
Area of responsibility: The CRO is responsible for implementing the CCUS risk management strategy. The CRO shall exercise such other powers and perform such other duties as may be assigned to the CRO from time to time by the Board, the Chairman or the Head of Cboe Clear US. The CRO is under the Head of Cboe Clear US in terms of the day-to-day organizational management.
Business experience during the last five (5) years: Mr. Iverson was appointed as CRO of Cboe Clear US for Cboe Global Markets in 2024 after serving in prior first-line risk roles since 2021 when he originally joined the organization then doing business as Eris Clearing. In these prior roles, responsibilities included first-line risk management activities across credit, liquidity, stress-testing, pricing, and quantitative model development. Prior to joining Eris Clearing, Mr. Iverson served as a Risk Manager within the Options Clearing Corporation’s Credit Risk Management department. Mr. Iverson holds a Financial Risk Manager certification from the Global Association of Risk Professionals, earned a Master of Science in Computational Finance from DePaul University, and a Bachelor of Business Administration in Accounting from the University of Wisconsin-Madison.

- 4) Name: Jennifer Fuentes
Title: Chief Compliance Officer
Area of responsibility: The Chief Compliance Officer shall be responsible for performing the responsibilities described in (i) Section 3C(j)(2) of the Securities Exchange Act, and any applicable rules and regulations promulgated thereunder, and (ii) to the extent required, Section 3C(j)(3) of the Securities Exchange Act, and any applicable rules and regulations promulgated thereunder. The Chief Compliance Officer shall have such powers necessary to perform such duties.
Business experience during the last five (5) years: Ms. Fuentes has been with Cboe Global Markets for ten years and was appointed Chief Compliance Officer of CCUS in 2025. Ms. Fuentes was previously an Assistant Vice President at JPMorgan Chase and practiced corporate law as an associate attorney at a major law firm. Ms. Fuentes earned a Bachelor's degree in finance and business administration from Georgetown University and a JD from the University of Notre Dame Law School.
- 5) Name: Michael Margolis
Title: Head of CCUS Legal
Area of responsibility: The Head of Legal shall be the principal legal officer of CCUS and shall have general supervision of all matters of a legal nature concerning the company. The Head of Legal shall exercise such other powers and perform such other duties as may be assigned to the Head of Legal from time to time by the Board, the Chairman, the Head of Cboe Clear US, or the CGM General Counsel.
Business experience during the last five (5) years: The Associate General Counsel ("AGC"), who assumed responsibility for CCUS in August of 2024, was formerly Special Counsel in the CFTC's Division of Clearing and Risk. Prior to joining the CFTC, he was an Attorney at Jenner & Block LLP. Mr. Margolis graduated Cum Laude from the University of Wisconsin Law School.