

FILED

UNITED STATES DISTRICT COURT
for the
MIDDLE DISTRICT OF FLORIDA

SECURITIES AND EXCHANGE COMMISSION,**Plaintiff****v.**

JAMES T. STAPLES, JOSEPH A. MONACO,
JENO K. KOCH, DAVID E. TROTTER AND
ROBERT L. HEINTZ,

Defendants.

CIVIL ACTION NO.
98-1061-CV-22-C

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ORDER IMPOSING CIVIL MONEY PENALTIES AGAINST
DEFENDANT JOSEPH A. MONACO

This matter came before the Court upon Plaintiff Securities and Exchange Commission's Motion for an order imposing civil money penalties against defendant Joseph A. Monaco ("Monaco"), by default, as a result of his violations of the federal securities laws.

On October 29, 1999 the Court entered an Amended Default Final Judgment against Monaco enjoining him from further violations of the federal securities laws and requiring him to pay disgorgement and civil money penalties in an amount to be determined by the Court. On March 22, 2000 this court ordered Monaco to disgorge \$6 million in ill-gotten gains. The Court finds that it has jurisdiction over the defendant and the subject matter herein, and upon being fully advised of the premises, it is:

ORDERED AND ADJUDGED that defendant Monaco shall be assessed and shall pay a civil penalty pursuant to Section 20(d) of the Securities Act of 1933, 15 U.S.C. § 77t(d), and Section 21(d)(3) of the Securities Exchange Act of 1934, 15 U.S.C. § 78u(d)(3) in the amount of \$/100,000.00. The Court hereby orders payment by Monaco and collection of said amount,

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(6.375%)

together with post-judgment interest at the rate specified in 28 U.S.C. §1961₁ within thirty days of the date of this order, by check payable to the "U.S. Treasury." Such payment shall be transmitted to the Comptroller, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549, under cover of a letter that identifies Monaco, the caption and case number of this action and the name of this Court. Copies of such check and accompanying cover letter shall be simultaneously transmitted to Regional Director, SEC, 1401 Brickell Ave., Suite 200, Miami, FL 33131. At such time as said funds are transmitted to the SEC's Comptroller, Monaco relinquishes all legal and equitable right, title and interest in the funds, and no part of said funds shall be returned to him or their successors or assigns.

IT IS HEREBY FURTHER ORDERED that this Court will retain jurisdiction over this matter and defendant Monaco in order to implement and carry out the terms of all Orders and Decrees that may be entered and/or to entertain any suitable application or motion for additional relief within the jurisdiction of the Court.

DONE AND ORDERED on this 6th day of July, 2000 at Orlando, Florida.


UNITED STATES DISTRICT JUDGE

✓ cc: Glenn A. Harris, Esq.
Susan Curtin, Esq.
Securities and Exchange Commission
1401 Brickell Ave., Suite 200
Miami, FL 33131

Joseph Anthony Monaco, *pro se*
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All other counsel and parties of record