

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 6931 / March 25, 2025

ADMINISTRATIVE PROCEEDINGS
File Nos. 3-22307 and 3-22308

In the Matters of

EPIC CAPITAL WEALTH ADVISORS, LLC	:	ORDER
and	:	
DAVID M. ANTHONY	:	

The Securities and Exchange Commission instituted these proceedings on November 8, 2024: pursuant to Section 203(c)(2)(B) of the Investment Advisers Act of 1940, as to Epic Capital Wealth Advisors, LLC, and pursuant to Section 203(f) of the Investment Advisers Act of 1940 as to David M. Anthony, Epic Capital's president. On January 23, 2025, the Commission issued its Order Setting Proceeding for Expedited Hearing, as to Epic Capital, and Order Setting Proceeding for Hearing Before an Administrative Law Judge, as to Mr. Anthony. A joint prehearing conference, at which all parties appeared, was held on January 31, 2025. The parties agreed that the same evidence was relevant to both proceedings and that one joint hearing should be conducted.

Pursuant to Section 203(c)(2)(B) and the agreement of the parties, the Commission must resolve the Epic proceeding by October 20, 2025. *See Epic Cap. Wealth Advisors, LLC*, Admin. Proc. Rulings Release No. 6923, 2025 SEC LEXIS 366 (Feb. 5, 2025).

Previously, the parties were ordered to confer and propose a procedural schedule. *See Epic Cap. Wealth Advisors, LLC*, Admin. Proc. Rulings Release No. 6926, 2025 SEC LEXIS 474 (Feb. 18, 2025). The parties' proposed schedule, filed on March 24, 2025, will be adopted:

Close of discovery	May 9, 2025
Parties' prehearing briefs filed	May 23, 2025
Hearing (remote, by video)	June 2, 2025
Parties' post-hearing briefs filed	June 18, 2025
Parties' response briefs filed	July 2, 2025
Initial Decision (ID)	August 13, 2025
Petition for review of ID	August 20, 2025

IT IS SO ORDERED.

/s/ Carol Fox Foelak _____
Carol Fox Foelak
Administrative Law Judge