

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 6849 / May 10, 2022

ADMINISTRATIVE PROCEEDING

File No. 3-20531

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In the Matter of	:	
	:	
HORTER INVESTMENT MANAGEMENT, LLC,	:	ORDER
and DREW K. HORTER	:	

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The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings, pursuant to Sections 203(e), 203(f), and 203(k) of the Investment Advisers Act of 1940, on September 8, 2021, and the Commission ordered that the matter be heard by an Administrative Law Judge on April 14, 2022. Alyssa Qualls, Jonathan A. Epstein, and Charles J. Kerstetter, Esqs., appeared for the Commission's Division of Enforcement, and Nicole R. Woods, Esq., of Ice Miller, appeared for Respondents Horter Investment Management, LLC, and Drew K. Horter.

A prehearing conference was held today. The parties' proposed case schedule, filed April 26, 2022, was adopted. The hearing, expected to last between one and two weeks, will commence on October 24, 2022, and will be conducted remotely, through video hearing sessions.

IT IS SO ORDERED.

/S/ Carol Fox Foelak  
Carol Fox Foelak  
Administrative Law Judge