

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 6846/April 27, 2022

ADMINISTRATIVE PROCEEDING
File No. 3-20531

In the Matter of :
:
HORTER INVESTMENT MANAGEMENT, LLC, : ORDER
and DREW K. HORTER :
:

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings (OIP), pursuant to Sections 203(e), 203(f), and 203(k) of the Investment Advisers Act of 1940, on September 8, 2021, and the Commission ordered that the matter be heard by an Administrative Law Judge on April 14, 2022. On April 26, 2022, the parties filed a proposed schedule, culminating in a hearing date of October 24, 2022. It will be helpful to hold a telephonic prehearing conference in the near future to discuss various details of the way forward, such as the estimated length of the hearing, arrangements for video conferencing the hearing, any need for in-person hearing sessions, and the presently estimated number and availability of witnesses. The parties should propose, by May 6, 2022, dates and times during May when they will be available for the prehearing conference.

Going forward, the parties are requested to send a courtesy copy of their filings to ALJ@SEC.GOV.

IT IS SO ORDERED.

/S/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge