

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 6827/August 26, 2021

ADMINISTRATIVE PROCEEDING

File No. 3-20378

In the Matter of	:	
	:	
ELAINE A. DOWLING, ESQ.	:	ORDER TO SHOW CAUSE

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings (OIP), pursuant to Section 4C of the Securities Exchange Act of 1934 and Rule 102(e)(1)(ii) of the Commission's Rules of Practice, on June 29, 2021. The OIP alleges that Respondent knowingly or recklessly permitted her purported paralegal, a disbarred felon who had been suspended from appearing or practicing before the Commission as an attorney, to appear and practice before the Commission as an attorney. The Commission's Office of General Counsel (OGC) is seeking to permanently deny Respondent the privilege of appearing or practicing before the Commission.

The OIP provides that Respondent's Answer is due within twenty days of service of the OIP on her. *See* OIP at 12; 17 C.F.R. § 201.220(b). Respondent was served with the OIP by July 6, 2021, by USPS certified mail delivery.¹ 17 C.F.R. § 201.141(a)(2)(i). To date Respondent has failed to file an Answer within the time provided. On August 18, 2021, OGC filed a motion for default that includes a certification that OGC served the motion on Respondent on that date. Any opposition to the motion was due by August 25, 2021. 17 C.F.R. §§ 201.154(b), 160(a). To date Respondent has failed to respond to the motion for default.

Accordingly, Respondent IS ORDERED TO SHOW CAUSE, by September 17, 2021, why she should not be deemed to be in default and permanently denied the privilege of appearing or practicing before the Commission. *See* OIP at 12; 17 C.F.R. §§ 201.155(a), .220(f).

/S/ Carol Fox Foelak

Carol Fox Foelak
Administrative Law Judge

¹ The USPS tracking numbers for the mailings to Respondent and to her attorney, respectively, are 7017240000008358126 and 7017240000008358133.