

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 6809/January 26, 2021

ADMINISTRATIVE PROCEEDING

File No. 3-20136

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In the Matter of :  
:   
NORMAN T. REYNOLDS, ESQ. : ORDER

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The Securities and Exchange Commission instituted this proceeding with an Order Instituting Public Administrative Proceedings and Imposing Temporary Suspension (OIP), pursuant to Rule 102(e)(3)(i) of the Commission's Rules of Practice, on October 22, 2020. The OIP temporarily suspended Norman T. Reynolds, Esq., from appearing or practicing before the Commission as an attorney. On December 23, 2020, pursuant to Rule 102(e)(3)(iii), the Commission denied Reynolds's request that his temporary suspension be lifted and ordered a hearing before an administrative law judge.<sup>1</sup>

A prehearing conference was held today. Philip J. Holmes and Thomas J. Karr, Esqs., appeared for the Office of the General Counsel (OGC), and Respondent Reynolds appeared *pro se*. The parties have discussed settlement and shortly may file a motion to stay this proceeding, pursuant to Rule 161(c)(2). Otherwise, as agreed to by the parties, OGC will file a motion for summary disposition, pursuant to Rule 250. Its motion will be due on February 26, 2021. Reynolds's opposition and OGC's reply will be due March 26 and April 9, 2021, respectively.

IT IS SO ORDERED.

/S/ Carol Fox Foelak  
Carol Fox Foelak  
Administrative Law Judge

Served by email on both parties

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<sup>1</sup> *Norman T. Reynolds, Esq.*, Securities Exchange Act Release No. 90801, 2020 SEC LEXIS 5269.