

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6718 / December 13, 2019

Administrative Proceeding
File No. 3-15755

In the Matter of
Mark Feathers

**Order Following
Prehearing Conference**

I held a telephonic prehearing conference on December 10, 2019, at which the parties and I discussed scheduling and other prehearing matters.

Generally, a respondent who wishes to obtain a copy of a prehearing or hearing transcript must purchase it from the court reporting company. 17 C.F.R. § 201.302(b). The reporting contract allows a respondent in an administrative proceeding to obtain a free copy of the transcript if the respondent is indigent. If Respondent wishes to assert an inability to pay for a transcript, he should file a Form D-A (model disclosure of assets and financial information form) by December 31, 2019. The form and instructions can be found at <https://www.sec.gov/alj/form-da.pdf>. Along with the form, Respondent can request a protective order against disclosure of his financial information to the public. 17 C.F.R. §§ 201.322, .630(c).

By December 19, 2019, the parties should notify my office of their availability for a hearing to be held in the first three weeks of March or first three weeks of April, 2020. I ask that the parties reserve a week of their schedules, though the actual hearing may be shorter or longer as necessary. Based on the hearing date, I will set a prehearing schedule that includes the possibility of dispositive motions under Rule 250(b). 17 C.F.R. § 201.250(b).

The Commission's Rules of Practice direct that the location of the hearing be set "with due regard for the public interest and the convenience and necessity of the parties, other participants, or their representatives." 17 C.F.R. § 201.200(c). My preliminary assessment is that the most convenient location

is in or near San Francisco, California. A party that wishes to propose a different venue should do so by December 19, 2019.

Jason S. Patil
Administrative Law Judge