

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6705 / November 13, 2019

Administrative Proceeding
File No. 3-15755

In the Matter of
Mark Feathers

**Order Regarding the Conduct
of Further Proceedings**

The Securities and Exchange Commission remanded this proceeding to provide Respondent Mark Feathers with the opportunity for a new hearing before an administrative law judge who did not previously participate in the matter. *Mark Feathers*, Securities Exchange Act of 1934 Release No. 87226, 2019 SEC LEXIS 3684 (Oct. 4, 2019). The Commission's remand order provided that the parties could agree to alternative procedures by November 1, 2019. The parties did not submit any agreement by that deadline, and the matter was assigned to me on November 4, 2019. *Feathers*, Admin. Proc. Rulings Release No. 6703, 2019 SEC LEXIS 4388. Feathers subsequently informed my office by email that he had not received the Commission's remand order and had no opportunity to confer with the Division of Enforcement about alternative procedures. I therefore order the following:

1. If Feathers wishes to represent himself, he must file with the Commission's Office of the Secretary, and keep current, an address at which he can be served with notices or orders. 17 C.F.R. § 201.102(d)(1). If Feathers engages counsel to represent him, that counsel must file a notice of appearance. 17 C.F.R. § 201.102(d)(2). The address of the Office of the Secretary and other instructions for respondents are available on the website of the Office of Administrative Law Judges at <https://www.sec.gov/alj/alj-instructions-for-respondents.pdf>.
2. Feathers and the Division must confer about the "alternative procedures" contemplated by the Commission's remand order. I interpret "alternative procedures" to mean that the parties could agree

to waive the opportunity for a hearing before an administrative law judge who did not previously participate in the matter.

3. If the parties do not agree to alternative procedures, I direct the parties to submit proposals for the conduct of further proceedings.
4. The parties must submit their agreement about alternative procedures or their proposals for the conduct of further proceedings by December 4, 2019.

Jason S. Patil
Administrative Law Judge