

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 6700/October 28, 2019

ADMINISTRATIVE PROCEEDING

File No. 3-18422

In the Matter of :
:
JOSHUA D. MOSSHART : ORDER

The Securities and Exchange Commission instituted proceedings against Respondent Joshua D. Mosshart with an Order Instituting Proceeding, pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940, on April 5, 2018. The proceeding is a follow-on proceeding based on *SEC v. Enviro Board Corp.*, No. 2:16-cv-6427 (C.D. Cal.), in which he was enjoined, on default, from violating the registration provisions of the federal securities laws.

The proceeding was reassigned on September 12, 2018, in light of *Lucia v. SEC*, 138 S. Ct. 2044 (2018), and again, on March 18, 2019, to the undersigned. *Joshua D. Mosshart*, Admin. Proc. Release Nos. 5955, 2018 SEC LEXIS 2264 (C.A.L.J.); 6505, 2019 SEC LEXIS 512 (C.A.L.J.). As invited by the then-presiding judge and pursuant to 17 C.F.R. § 201.250(b), the Division of Enforcement filed a motion for summary disposition, arguing that Mosshart should be barred from the securities industry. Opposition and reply filings followed. Mosshart's filings will be treated as a cross-motion for summary disposition seeking dismissal of the proceeding. If the Division wants to respond to Mosshart's filings construed as a cross-motion, it may do so by November 15, 2019, and Mosshart may reply by December 2, 2019.

IT IS SO ORDERED.

/S/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge