

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 6672/September 6, 2019

ADMINISTRATIVE PROCEEDING

File No. 3-19024

In the Matter of

ASCENSION ASSET MANAGEMENT, LLC, and : ORDER
GRENVILLE M. GOODER, JR. :

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings on March 7, 2019, pursuant to Section 203(e), 203(f), and 203(k) of the Investment Advisers Act of 1940 and Section 9(b) of the Investment Company Act of 1940. The hearing, expected to last one week or less, is scheduled to commence on September 9, 2019, in Washington, DC. *Ascension Asset Mgmt., LLC*, Advisers Act Release No. 5230, 2019 SEC LEXIS 1055 (May 7, 2019); Admin. Proc. Rulings Release No. 6583, 2019 SEC LEXIS 1199 (A.L.J. May 21, 2019).

Under consideration is Respondents' September 3, 2019, Motion for a Protective Order. Respondents state that certain exhibits on the parties' lists of proposed exhibits contain personally identifiable information (PII) and confidential information and request a protective order as to these exhibits. The parties are reminded that any exhibits that are actually offered in evidence should be redacted of PII and should be relevant to the issues in this proceeding. The undersigned will rule on any other issues when an exhibit is offered.

IT IS SO ORDERED.

/S/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge