

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6627 / July 11, 2019

Administrative Proceeding
File No. 3-18061

In the Matter of

**Retirement Surety LLC,
Crescendo Financial LLC,
Thomas Rose,
David Leeman, and
David Featherstone**

**Order Following
Prehearing Conference**

On July 11, 2019, I held a prehearing conference to discuss further proceedings, including whether this matter can be resolved on the basis of the written record. The parties will confer and submit a proposed schedule by July 18, 2019.

James E. Grimes
Administrative Law Judge