UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Administrative Proceedings Rulings Release No. 6617 / June 28, 2019

Administrative Proceeding File No. 3-18061

In the Matter of

Retirement Surety LLC, Crescendo Financial LLC, Thomas Rose, David Leeman, and David Featherstone

Order Setting Prehearing Conference

On June 27, 2019, the parties submitted a joint letter regarding the conduct of further proceedings. Because it would be helpful to discuss the proposals, I will hold a telephonic prehearing conference on July 11, 2019, at 2:30 p.m. I ask the Division of Enforcement to circulate dial-in instructions before the conference.

James E. Grimes Administrative Law Judge