

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6597 / June 7, 2019

Administrative Proceeding
File No. 3-15574

In the Matter of

**Harding Advisory LLC and
Wing F. Chau**

**Order Following
Prehearing Conference**

On June 4, 2019, the parties participated in a telephonic prehearing conference. They reiterated that they believe they are close to settlement and, if they are unable to come to an agreement, that they intend to stipulate to the prior hearing record so that they can proceed directly to review by the Securities and Exchange Commission. To avoid wasteful duplication, I agreed to give the parties some additional time to determine which path they choose.

If the parties have not filed a motion based on their decision by then, I ORDER that a telephonic prehearing conference will be held on July 15, 2019, at 2:00 p.m. EDT. A procedural schedule will likely have to be set at that time. The Division of Enforcement shall circulate dial-in information to the parties in advance of the conference.

James E. Grimes
Administrative Law Judge