

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 6583/May 21, 2019

ADMINISTRATIVE PROCEEDING

File No. 3-19024

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In the Matter of

ASCENSION ASSET MANAGEMENT, LLC, and :           ORDER  
GRENVILLE M. GOODER, JR. :  
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The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings on March 7, 2019, pursuant to Sections 203(e), 203(f), and 203(k) of the Investment Advisers Act of 1940 and Section 9(b) of the Investment Company Act of 1940. A prehearing conference was held today. Nicholas A. Pilgrim, Esq., appeared for the Division of Enforcement, and Thomas J. McGonigle, Alexandra J. Marinzal, and Macauley B. Venora, Esqs., of Murphy & McGonigle, PC, appeared for Respondents Ascension Asset Management, LLC, and Grenville M. Gooder, Jr.

Previously, a hearing was scheduled to commence on September 9, 2019, in Washington, DC, and a procedural schedule set. *Ascension Asset Mgmt., LLC*, Advisers Act Release No. 5230, 2019 SEC LEXIS 1055 (May 7, 2019). At the prehearing conference, the parties confirmed their agreement with the hearing date and place. They estimated the length of the hearing as one week or less. They also confirmed their agreement with the procedural schedule, with the possibility of mutually agreed deviations. The parties intend to continue to attempt to resolve the proceeding by settlement.

/S/ Carol Fox Foelak  
Carol Fox Foelak  
Administrative Law Judge