UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Administrative Proceedings Rulings Release No. 6562 / May 3, 2019

Administrative Proceeding File No. 3-16509

In the Matter of

Edward M. Daspin, a/k/a
"Edward (Ed) Michael",
Luigi Agostini, and
Lawrence R. Lux

Order for Continued Hearing

At a hearing that occurred from April 15 through April 26, 2019, the Division of Enforcement presented ten witnesses in its direct case. I ORDER that the hearing will continue by video teleconference at 9:30 a.m. EDT on May 8, 2019, with arrangements to be made between the parties and my office.

At the continued hearing, Respondent Edward M. Daspin, appearing pro se, will present rebuttal testimony. It is unusual but not unprecedented for a pro se respondent to use his opportunity for presenting evidence in rebuttal to testify. Daspin can proceed in any manner he chooses, but for the hearing to move along smoothly without too many objections, it would be helpful if Daspin presented his evidence in some organized fashion so that the points he wants to make are clear. For example, Daspin might consider refuting the testimony of the witnesses in the order in which they appeared or addressing the allegations in the order set out in the Division's prehearing brief.

Following Daspin's rebuttal, the Division may present redirect evidence. Then I will order dates for the filing of briefs and proposed findings of facts and conclusions of law. Finally, I will make sure that the parties have received a ruling on the admissibility of potential evidence.

Brenda P. Murray Chief Administrative Law Judge