

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Administrative Proceedings Rulings  
Release No. 6536 / April 4, 2019

Administrative Proceeding  
File No. 3-17849

In the Matter of

**Angel Oak Capital Partners, LLC,  
Peraza Capital & Investment,  
LLC,  
Sreeniwas Prabhu, and  
David W. Wells**

**Order Following  
Prehearing Conference**

As this case is again proceeding toward a hearing, I held a prehearing conference yesterday attended by the Division of Enforcement and Peraza Capital & Investment, LLC. After further conferring with each other after the conference, the parties agreed to adopt the following schedule:

- |                 |  |
|-----------------|--|
| April 22, 2019: | Parties to exchange and file witness and exhibit lists.                                    |
| May 3, 2019:    | Due date for objections to witnesses or exhibits, motions in limine, and any stipulations. |
| May 15, 2019:   | Final telephonic prehearing conference at 2:30 p.m. EDT.                                   |
|                 | Deadline for parties to seek subpoenas for hearing witnesses. <sup>1</sup>                 |

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<sup>1</sup> Although May 15, 2019, is the deadline for requesting witness subpoenas, to minimize inconvenience and provide adequate notice to third parties, the parties are encouraged not to wait until the deadline to submit such requests.

May 29, 2019:

The hearing will begin in Miami, Florida, at a location to be determined.

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James E. Grimes  
Administrative Law Judge