

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 6498/March 15, 2019

ADMINISTRATIVE PROCEEDING
File No. 3-18209

In the Matter of

HUI FENG and :
LAW OFFICES OF FENG & ASSOCIATES, P.C. : ORDER TO SHOW CAUSE

The Securities and Exchange Commission instituted this proceeding on September 25, 2017. It is a follow-on proceeding based on *SEC v. Hui Feng*, No. 2:15-cv-9420 (C.D. Cal.), in which Respondents were enjoined from violating the antifraud provisions of the federal securities laws. On March 12, 2018, an Initial Decision imposed associational bars on Respondents. *Hui Feng*, Initial Decision Release No. 1242, 2018 SEC LEXIS 705 (A.L.J.).

On August 22, 2018, in light of *Lucia v. SEC*, 138 S. Ct. 2044 (2018), the Commission ordered a new hearing in each pending proceeding, including this one, before an administrative law judge (ALJ) who had not previously participated in the proceeding, unless the parties expressly agreed to alternative procedures. *Pending Admin. Proc.*, Securities Act of 1933 Release No. 10536, 2018 SEC LEXIS 2058, at *2-3 (August 22 Order). Accordingly, the proceeding was reassigned to the undersigned. *Pending Admin. Proc.*, Admin. Proc. Rulings Release No. 5955, 2018 SEC LEXIS 2264 (C.A.L.J. Sept. 12, 2018).

As to each affected proceeding, including this one, the Commission ordered that the newly assigned presiding ALJ “issue an order directing the parties to submit proposals for the conduct of further proceedings.” August 22 Order, 2018 SEC LEXIS 2058, at *4. The Commission specified, “if a party fails to submit a proposal, the ALJ may enter a default against that party.” *Id.* Accordingly, after the reassignment of the proceeding, the parties were ordered to submit proposals for the conduct of further proceedings by December 14, 2018, and were warned that an associational bar would be imposed, by default, on a Respondent that failed to submit a proposal. *Hui Feng*, Admin. Proc. Rulings Release No. 6122, 2018 SEC LEXIS 2697 (A.L.J. Sept. 28, 2018). To date, Respondents have failed to file a proposal for the conduct of further proceedings. Accordingly, Hui Feng and Law Offices of Feng & Associates, P.C., are ORDERED TO SHOW CAUSE, by March 28, 2019, why they should not be barred from the securities industry. *See* August 22 Order, 2018 SEC LEXIS 2058, at *4; 17 C.F.R. § 201.155(a).

IT IS SO ORDERED.

/S/ Carol Fox Foelak _____
Carol Fox Foelak
Administrative Law Judge