

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6402 / December 13, 2018

Administrative Proceeding
File Nos. 3-18534

In the Matter of
Jason A. Halek

**Order Following
Prehearing Conference**

The Securities and Exchange Commission instituted this proceeding pursuant to Section 15(b) of the Securities Exchange Act of 1934 on June 7, 2018. Respondent Jason A. Halek was served with the order instituting proceedings (OIP) on June 13, 2018, but because the proceeding was stayed, his answer was due on December 11, 2018. *See Jason A. Halek*, Admin. Proc. Rulings Release No. 6308, 2018 SEC LEXIS 3124, at *2-3 (ALJ Nov. 7, 2018). The day before the prehearing conference on December 13, 2018, Halek sent me an email and an answer to the OIP. My office will transmit Halek's answer to the Office of the Secretary for filing in the public docket. *Cf.* 17 C.F.R. §§ 201.151-153 (explaining how to properly file papers in this proceeding).

At the prehearing conference, Halek asked that the proceeding be delayed 90 days so that he could raise funds to hire an attorney to pursue claims that people acted improperly in the underlying proceeding, *SEC v. Halek*, No. 3:14-cv-1106 (N.D. Tex.). I DENIED Halek's request and ORDERED the following extended schedule at Halek's request, so that he has additional time to retain counsel. *See* 17 C.F.R. § 201.161(a)-(b).

Jan. 18, 2019:	Division of Enforcement will file a motion for summary judgment, 17 C.F.R. § 201.250(b).
Feb. 26, 2019:	Halek may file a brief in opposition.
Mar. 15, 2019:	Division may file a reply.

It would be helpful if in addition to filing their briefs with the Office of the Secretary, the parties email courtesy copies to alj@sec.gov in PDF text-searchable format with each exhibit saved as a separate file.

Brenda P. Murray
Chief Administrative Law Judge