

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Administrative Proceedings Rulings  
Release No. 6382 / November 30, 2018

Administrative Proceeding  
File No. 3-16509

In the Matter of

**Edward M. Daspin, a/k/a  
“Edward (Ed) Michael”,  
Luigi Agostini, and  
Lawrence R. Lux**

**Order Regarding Request  
for Copies of Record Materials**

This proceeding, which began when the Securities and Exchange Commission issued an order instituting proceedings (OIP) on April 23, 2015, was assigned to me after the Commission vacated all prior orders and decisions and ordered a new hearing. *Pending Admin. Proc.*, Securities Act of 1933 Release No. 10536, 2018 SEC LEXIS 2058, at \*2-4 (Aug. 22, 2018); *Pending Admin. Proc.*, Admin. Proc. Rulings Release No. 5955, 2018 SEC LEXIS 2264, at \*2, \*4 (ALJ Sept. 12, 2018). Edward M. Daspin is the only remaining respondent. At a recent prehearing conference, Daspin stated that he needed copies of (1) the OIP and (2) the transcript of the prehearing conference; but that he lacked the funds to purchase a copy of the transcript. Prehr’g Tr. 20-24, 43, 52 (Nov. 14, 2018). On November 19, 2018, I ordered a prehearing schedule which calls for a hearing beginning on February 25, 2019. *Edward M. Daspin*, Admin. Proc. Rulings Release No. 6342, 2018 SEC LEXIS 3260, at \*3-4 (ALJ).

In response to Daspin’s requests, the OIP is publicly available at <https://www.sec.gov/litigation/admin/2015/33-9755.pdf>. I cannot provide Daspin with a free copy of the prehearing transcript. Prehr’g Tr. 23. Rule of Practice 302, 17 C.F.R. § 201.302, allows the parties to purchase the transcript. The reporting contract allows the Commission to provide transcripts only when processing an Equal Employment Opportunity complaint, in an Inspector General inquiry or investigation, and in

administrative proceedings where the respondent is indigent. Daspin does not come within any of these exceptions.

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Brenda P. Murray  
Chief Administrative Law Judge