

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6354 / November 20, 2018

Administrative Proceeding
File No. 3-15255

In the Matter of

**John Thomas Capital
Management Group LLC, d/b/a
Patriot28 LLC,
George R. Jarquesy, Jr.,
John Thomas Financial, Inc., and
Anastasios “Tommy” Belesis**

**Order on Transcript
Corrections**

I held a telephonic prehearing conference in this matter on October 26, 2018. I ORDER that the following corrections be made to the transcript of the conference:

Page/Line	Original Text	Corrected Text
3:2	JUDGE GRIMES: We are, too? Okay. Well then,	JUDGE GRIMES: Okay. Well then,
6:12–13	I’ll leave that to you. Can you just take them now, or you can just submit them in writing? Let me know. What	I’ll leave that to you. You can just make them now, or you can just submit them in writing. Let me know. What
7:25	New York City of there is a hearing.	New York City if there is a hearing.
8:22	course of the Office of the Secretary, but as far as I’m	course with the Office of the Secretary, but as far as I’m
10:24	but will have to include a deadline for -- I’ll include	but we’ll have to include a deadline for -- I’ll include
13:18	How long do you think -- and then I’ll start	How long do you think -- and I’ll start
14:11–15	assumption there’ll be a hearing, and if a party of	assumption there’ll be a hearing, and if a party

Page/Line	Original Text	Corrected Text
	service claim a privilege as to a document that is responsive to a subpoena, you'll need to submit a privilege of law, again, a declaration, because I cannot adjudicate privileged disputes in the abstract. That	asserts a claim of privilege as to a document that is responsive to a subpoena, you'll need to submit a privilege log and a declaration, because I cannot adjudicate privilege disputes in the abstract. That
14:19	extra reports, because I'll definitely review those	expert reports, because I'll definitely review those
16:12	JUDGE GRIMES: -- scheduled then for those?	JUDGE GRIMES: -- schedule then for those?
18:9	Divisions opposition will be due three weeks later,	Division's opposition will be due three weeks later,
21:25	anymore at this point though because the remand. But	anymore at this point though because of the remand. But
23:17	think that's good idea, because when I take my opinion	think that's a good idea, because when I state my opinion
23:22	That's simply my opinion for knowing how	That's simply my opinion knowing how
26:1	unconstitutional. And the OIP, the orders instituting	unconstitutional. And the OIP, the order instituting
29:11	threshold trying to determine whether I'd be bound by	threshold try to determine whether I'd be bound by

I direct the court reporter to implement the above changes and issue a complete, amended transcript for the conference held on October 26, 2018. The amended transcript should also be provided in electronic format to my office.

James E. Grimes
Administrative Law Judge