

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6324 / November 15, 2018

Administrative Proceeding
File No. 3-16353

In the Matter of

**Spring Hill Capital Markets, LLC,
Spring Hill Capital Partners, LLC,
Spring Hill Capital Holdings, LLC,
and
Kevin D. White**

**Order Regarding Corrections
to Transcript of the October 23,
2018 Prehearing Conference**

I held a telephonic prehearing conference in this matter on October 23, 2018. I ORDER that the following corrections be made to the transcript of the conference:

Page/Line	Original Text	Corrected Text
5:22-23	before the—the 18th of February 2015, since that's when the hand switched files	before the—the 18th of February 2015, since that's when the answer was filed.
6:13	attention to rule 220-E that deals with amendments, and you	attention to Rule 220(e) that deals with amendments, and you
7:19-20	JUDGE GRIMES: All right. I'd permiss going whatever the party has agreed; so that's—that—Mr.	JUDGE GRIMES: All right. I'd prefer going wherever the parties have agreed. So that's—that—Mr.
8:23-24	copies of the final, as it just helps out with the—with the mail and everything. And obviously, the party should	copies of filings, as it just helps out with the—with the mail and everything. And obviously, the parties should
9:5	far as production of the investigated file and discovery,	far as production of the investigative file and discovery,

Page/Line	Original Text	Corrected Text
9:21-24	The party—party’s letter does not go into detail about discovery, and so I’m curious as to what other parties have agreed to rely on the record it was developed for? Do you intend to engage even more discovery? Depositions? Have	The party—parties’ letter does not go into detail about discovery, and so I’m curious as to whether the parties have agreed to rely on the record that was developed before? Do you intend to engage in more discovery or depositions? Have
11:16	culminates in a hearing on May 20th. I can do May 20th. It	culminates in a hearing on May 20th. I can’t do May 20th. It
11:18	this schedule up to say May 13th or May 6th, although I’ll	this schedule up to say May 13th or May 6th, although I
12:4-5	JUDGE GRIMES: Sure. That’s right, yeah. Oh, let’s find those. What—what do you	JUDGE GRIMES: Sure. That’s right, yeah. Okay, let’s mind those. What—what do you
12:20	going to last four days based on what—what’s going on?	going to last four days based on what—what’s gone on?
13:11	and I’ll adjust to push the schedule accordingly based upon	and I’ll adjust or push the schedule accordingly based upon
13:17-20	to a subpoena, you’re going to need to file a privileged law of declaration, because I can’t adjudicate privileged disputes in the abstract. I’ll—I will also ask the parties to send me copies of the expert reports when you—	to a subpoena, you’re going to need to file a privilege log or declaration, because I can’t adjudicate privilege disputes in the abstract. I’ll—I will also ask the parties to send me copies of their expert reports when you—
13:22-24	exchange them, because I’ll definitely review those. And usually the report is the expert’s director, I consider them to be experts directly. If you want to present a brief	exchange them—because I’ll definitely review those. And usually the report is the expert’s direct. I consider them to be the expert’s direct. If you want to present a brief
14:7-9	like to get a filed paper copy of the exhibit list. It helps me keep track of what’s—	like to get a final paper copy of the exhibit list. It helps me keep track of what’s—

Page/Line	Original Text	Corrected Text
	what's been admitted and what's—what's not, but I don't need in the interim, if you change	what's been admitted and what's—what's not. But I don't need it in the interim. If you change
15:1-8	<p>JUDGE GRIMES:</p> <p>Obviously, there are some exhibits that you can only—oh, it's obvious—but sometimes, they're—there are some exhibits you can only present in paper form, but it's a lot easier—for me, I prefer to have electronic copies, but the originals, you'll have to file. So, they said they're not electronic? Actually, they sent the originals here on paper. You'll file those with the Office of the Secretary.</p>	<p>JUDGE GRIMES:</p> <p>Obviously, there are some exhibits that you can only—it's obvious—but sometimes, there—there are some exhibits you can only present in paper form. But it's a lot easier for me—and I prefer—to have electronic copies. But the originals, you'll have to file. So, if they're not electronic, actually, send the originals here on paper. You'll also file those with the Office of the Secretary.</p>

I direct the court reporter to implement the above changes and issue a complete, amended transcript for the conference held on October 23, 2018. The amended transcript should also be provided in electronic format to my office.

James E. Grimes
Administrative Law Judge