

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No.6295 / November 5, 2018

Administrative Proceeding
File No. 3-17115

In the Matter of
Louis V. Schooler

**Order Discontinuing
Proceeding**

The Securities and Exchange Commission's organizational rules delegate authority to the chief administrative law judge to grant motions from staff counsel to discontinue proceedings as to a respondent who has died. 17 C.F.R. § 200.30-10(8). On November 5, 2018, the Division of Enforcement moved to discontinue this administrative proceeding, instituted on February 12, 2016, pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940. The basis of the motion is that on September 26, 2018, a court of appeals found that Schooler died during the pendency of the appeal and, as a result, vacated the civil penalty imposed by the district court. *SEC v. Schooler*, 905 F.3d 1107, 1110, 1116 (9th Cir.).

For good cause shown, the motion is GRANTED, and the proceeding is DISCONTINUED.

Brenda P. Murray
Chief Administrative Law Judge