

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6241 / October 23, 2018

Administrative Proceeding
File No. 3-17693

In the Matter of

**Sean P. Finn and
M. Dwyer LLC**

**Order Following
Prehearing Conference**

Counsel for the Division of Enforcement appeared at yesterday's telephonic prehearing conference and reported that her efforts to locate and contact Respondent Sean P. Finn, who is believed to be detained pending trial in Nevada, have been so far unsuccessful. We also discussed the status of service of the order instituting proceedings on Respondents, and I ORDERED the Division to file a declaration regarding service by November 1, 2018.

James E. Grimes
Administrative Law Judge