

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6233 / October 22, 2018

Administrative Proceeding
File No. 3-18535

In the Matter of
Richard W. Kessler

**Order Following
Prehearing Conference**

A prehearing conference was held on October 19, 2018, attended by both parties. Based on the parties' representations, a settlement appears to be imminent. The parties should jointly move for a stay if they agree to settle. If a settlement is not reached, the Division of Enforcement shall file a status report by November 2, 2018.

Cameron Elliot
Administrative Law Judge