UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Administrative Proceedings Rulings Release No. 6226 / October 18, 2018

Administrative Proceeding File No. 3-17650

In the Matter of

Joe Lawler

Order Finding Service and Scheduling Prehearing Conference

Based on a U.S. Postal Service return receipt and Respondent Joe Lawler's participation in a telephonic prehearing conference, I find that Respondent was served by December 15, 2016. See Prehr'g Tr. 3-5 (Dec. 15, 2016). Respondent did not file an answer, however, and the previously assigned administrative law judge issued an initial decision of default. After the remand and reassignment of this proceeding, I find it appropriate to allow an additional opportunity for Respondent to file an answer, which will be due by October 30, 2018.

I additionally ORDER a telephonic prehearing conference for October 25, 2018, at 4:00 p.m. EDT. The Division of Enforcement shall circulate dial in instructions to all parties in advance.

James E. Grimes Administrative Law Judge