

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6199 / October 16, 2018

Administrative Proceeding
File No. 3-17828

In the Matter of
Rosalind Herman

**Order Setting Motions
Schedule and Scheduling
Prehearing Conference**

Following the reassignment of this proceeding to me, I asked the parties to file proposals for the conduct of further proceedings by October 10, 2018. The Division of Enforcement's proposal states that Respondent has not replied to its inquiry regarding the conduct of further proceedings. It requests that I enter a schedule for the filing of motions for summary disposition and schedule a prehearing conference. To date, Respondent has not submitted a proposal.

I ADOPT the Division's proposal and set the following motions schedule:

November 19, 2018: Motions for summary disposition due.

December 19, 2018: Oppositions to motions due.

January 4, 2019: Replies due.

I ORDER that a telephonic prehearing conference be held on November 1, 2018, at 2:30 p.m. EDT. The Division shall coordinate with Respondent's case manager regarding the conference or suggest an alternative date and time if necessary. The Division shall provide dial-in instructions in advance of the conference.

James E. Grimes
Administrative Law Judge