

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6161 / October 11, 2018

Administrative Proceeding
File No. 3-18288

In the Matter of
Lawrence E. Penn, III

**Order Scheduling
Prehearing Conference**

The parties have submitted separate proposals for the conduct of this proceeding. I ORDER that a telephonic prehearing conference be held on October 24, 2018, at 2:00 p.m. EDT, at which the parties should be prepared to discuss their proposals and a procedural schedule for the resolution of this proceeding, among other matters.

James E. Grimes
Administrative Law Judge