

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Administrative Proceedings Rulings  
Release No. 6097 / September 26, 2018

Administrative Proceeding  
File No. 3-18534

In the Matter of  
**Jason A. Halek**

**Order Following Reassignment**

The Securities and Exchange Commission instituted this proceeding with an order instituting proceedings (OIP) on June 7, 2018. It is notable, but not binding, that the Division of Enforcement submitted a declaration, which an administrative law judge found established personal service of the OIP on Jason A. Halek. *Jason A. Halek*, Admin Proc. Rulings Release No. 5803, 2018 SEC LEXIS 1425 (ALJ June 18, 2018). The proceeding was assigned to me following the Commission's August 22, 2018, order, *Pending Admin. Proc.*, Securities Act of 1933 Release No. 10536, 2018 SEC LEXIS 2058, which vacated any prior opinions and ordered a new hearing before an administrative law judge who had not previously participated in the proceeding. *See Pending Admin. Proc.*, Admin. Proc. Rulings Release No. 5955, 2018 SEC LEXIS 2264 (ALJ Sept. 12, 2018).

I direct the parties to submit proposals for the conduct of further proceedings by October 16, 2018, and to consider the items referenced in 17 C.F.R. § 201.221(c). The Commission's order of August 22, 2018, provides that a default may be entered if a respondent fails to submit a proposal. *Pending Admin. Proc.*, 2018 SEC LEXIS 2058 at \*4; 17 C.F.R. § 201.155(a).

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Brenda P. Murray  
Chief Administrative Law Judge