

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 6047 / September 20, 2018

Administrative Proceeding
File No. 3-17828

In the Matter of
Rosalind Herman

Order Following Reassignment

This proceeding was assigned to me following the Securities and Exchange Commission's order dated August 22, 2018, which remanded this matter for further proceedings, and a prehearing conference in which Respondent elected to proceed before a new administrative law judge who had not previously participated in the proceeding. *Pending Admin. Proc.*, Securities Act of 1933 Release No. 10536, 2018 SEC LEXIS 2058, at *2-3; *Rosalind Herman*, Admin. Proc. Rulings Release No. 6013, 2018 SEC LEXIS 2393 (ALJ Sept. 18, 2018).

I direct the parties to submit proposals for the conduct of further proceedings by October 10, 2018. The parties should confer and, if possible, submit a joint proposal that reflects any agreement regarding service of the order instituting proceedings and addresses the numbered items referenced in 17 C.F.R. § 201.221(c). The joint proposal or, in the absence of a joint proposal, the parties' separate proposals should also include the parties' availability between October 15 and October 26, 2018, for a telephonic prehearing conference.

James E. Grimes
Administrative Law Judge