UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Administrative Proceedings Rulings Release No. 6013 / September 18, 2018

Administrative Proceeding File No. 3-17828

In the Matter of

Reassignment Order

Rosalind Herman

This proceeding was instituted by the Securities and Exchange Commission on February 7, 2017. An administrative law judge issued an initial decision on April 17, 2018. *Rosalind Herman*, Initial Decision No. 1249, 2018 SEC LEXIS 920.

In a letter dated August 31, 2018, Rosalind Herman requested an explanation of the Commission's August 22, 2018, order. *See Pending Admin. Proc.*, Securities Act of 1933 Release No. 10536, 2018 SEC LEXIS 2058.

At a prehearing conference on September 18, 2018, Herman expressed her preference for a new hearing handled by an administrative law judge who has not previously participated in the proceeding. Accordingly, I ORDER that Judge James Grimes preside at the hearing and perform other and related duties in accordance with the Commission's Rules of Practice.

> Brenda P. Murray Chief Administrative Law Judge