

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Administrative Proceedings Rulings  
Release No. 5973 / September 14, 2018

Administrative Proceeding  
File No. 3-17828

In the Matter of  
**Rosalind Herman**

**Order Scheduling  
Prehearing Conference**

The Securities and Exchange Commission's August 22, 2018, order remanding administrative proceedings in light of *Lucia v. SEC*, 138 S. Ct. 2044 (2018), asked me to reassign all proceedings to a different administrative law judge unless a party requested alternative procedures by September 7, 2018. *See Pending Admin. Proc.*, Securities Act of 1933 Release No. 10536, 2018 SEC LEXIS 2058, at \*3. Respondent Herman, who appears pro se, requested an extension of the September 7 deadline and to have the Commission's order explained to her. Accordingly, I will hold a telephonic prehearing conference on September 18, 2018, at 11 a.m. Eastern.

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Brenda P. Murray  
Chief Administrative Law Judge