

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Administrative Proceedings Rulings  
Release No. 5807 / June 19, 2018

Administrative Proceeding  
File No. 3-18411

In the Matter of  
**Wedbush Securities, Inc.**

**Order Amending  
Prehearing Schedule**

The parties have moved to amend the prehearing schedule in this matter. As Respondent has retained new counsel and the parties are endeavoring to resolve this matter by settlement, I find good cause to revise the schedule as requested.

The schedule is AMENDED as follows:

- August 15, 2018: Last day for parties to seek deposition and discovery subpoenas of fact witnesses, if any.
- September 7, 2018: Parties identify expert witnesses, if any.
- September 14, 2018: Deadline to complete fact witness depositions.
- September 28, 2018: Exchange and file expert reports, if any.
- October 1, 2018: Last day for parties to seek deposition subpoenas for expert witnesses.
- October 5, 2018: Last day for parties to file motion for summary disposition.
- October 31, 2018: Deadline to complete expert depositions and for

parties to seek subpoenas for hearing witnesses, if any.<sup>1</sup>

- November 12, 2018: Exchange of exhibits, witness lists, and hearing exhibits (premarked).
- November 27, 2018: Motions in limine and objections to exhibit and witness lists due.
- December 3, 2018: Responses to motions in limine due; prehearing briefs, if any, are due.<sup>2</sup>
- Week of December 3, 2018: Final prehearing conference, time to be determined later.
- December 10, 2018: Hearing commences in Los Angeles, California.
- Six weeks after hearing concludes: Division's initial posthearing brief.
- Three weeks after Division's brief: Respondent's posthearing brief.
- Ten days after Respondent's brief: Division's reply.

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James E. Grimes  
Administrative Law Judge

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<sup>1</sup> The parties are encouraged to submit requests for such subpoenas in advance of this deadline.

<sup>2</sup> Prehearing briefs are optional. The parties should note, however, that I do not normally entertain opening statements and that a prehearing brief serves as the party's opening statement.