

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 5806 / June 18, 2018

Administrative Proceeding
File No. 3-18535

In the Matter of

Richard W. Kessler

**Order Finding Service,
Postponing Hearing, and
Scheduling Prehearing
Conference**

The Securities and Exchange Commission initiated this proceeding under Section 203(f) of the Investment Advisers Act of 1940 on June 7, 2018. On June 15, 2018, the Division of Enforcement filed a notice of service of the order instituting proceedings (OIP). Based on the evidence supplied by the Division, I find that the Office of the Secretary served Respondent Richard W. Kessler with the OIP on June 11, 2018, by sending a copy of the order addressed to him by U.S. Postal Service Priority Mail Express and obtaining a confirmation of receipt. 17 C.F.R. § 201.141(a)(2)(i). Accordingly, Kessler's answer is due by July 5, 2018. 17 C.F.R. §§ 201.160, .220(b).

The hearing scheduled for July 9, 2018, is POSTPONED. Instead, a prehearing conference will be held on July 9, 2018, at 2:00 p.m. EDT.

Brenda P. Murray
Chief Administrative Law Judge