UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Administrative Proceedings Rulings Release No. 5791 / June 12, 2018

Administrative Proceeding File No. 3-18479

In the Matter of

Steven J. Dykes

Order Scheduling Prehearing Conference

On June 11, 2018, the Division of Enforcement submitted a declaration of service establishing that Respondent Steven J. Dykes was personally served with the order instituting proceedings (OIP) on May 31, 2018. Dykes's answer is due by June 20, 2018. OIP at 3; 17 C.F.R. § 201.220(b).

A telephonic prehearing conference will be held on June 21, 2018, at 2:00 p.m. EDT. The Division should circulate dial-in instructions to all parties in advance of the hearing.

James E. Grimes Administrative Law Judge