UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Administrative Proceedings Rulings Release No. 5726 / May 14, 2018

Administrative Proceeding File No. 3-18460

In the Matter of

David Alcorn

Order Finding Service and Scheduling a Prehearing Conference

On May 11, 2018, the Division of Enforcement submitted a declaration regarding service of the order instituting proceedings (OIP) against Respondent David Alcorn. Based on the declaration, I find that Alcorn was served with the OIP on May 3, 2018, by U.S. Postal Service certified mail with confirmation of receipt by his counsel, who is authorized to accept service on Alcorn's behalf. 17 C.F.R. § 201.141(a)(2)(i). Accordingly, Alcorn's answer is due by May 29, 2018. OIP at 2; 17 C.F.R. §§ 201.160, .220(b). Under Rules 155(a) and 220(f), if Alcorn fails to file an answer, he will be in default and the proceeding may be determined against him based on allegations in the OIP, which may be deemed to be true. 17 C.F.R. §§ 201.155(a), .220(f).

It is ORDERED that a telephonic prehearing conference shall be held on Thursday, May 17, 2018, at 1:30 p.m. EDT. The Division shall circulate dialin instructions. The parties should be prepared to discuss each numbered item in Rule of Practice 221(c), 17 C.F.R. § 201.221(c), and propose dates by which those items will be accomplished where applicable.

Cameron Elliot Administrative Law Judge